

**IN THE UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF TENNESSEE
KNOXVILLE DIVISION**

ISABEL ZELAYA, GERONIMO GUERRERO, CAROLINA ROMULO MENDOZA, LUIS ROBERTO BAUTISTA MARTÍNEZ, MARTHA PULIDO, CATARINO ZAPOTE HERNÁNDEZ, and MARIA DEL PILAR GONZALEZ CRUZ, individually and on behalf of all others similarly situated,

Plaintiffs,

v.

JERE MILES, Special Agent in Charge, Homeland Security Investigations (“HSI”); **ROBERT HAMMER**, Assistant Special Agent in Charge, HSI; **DAVID VICENTE PENA**, Agent, Immigration and Customs Enforcement (“ICE”), Enforcement and Removal Operations (“ERO”); **FRANCISCO AYALA**, Agent, ICE, ERO; **BILLY RIGGINS**, Special Agent, ICE; **ANTHONY MARTIN**, Deportation Officer, ICE, ERO; **MATTHEW GROOMS**, Deportation Officer, ICE; **JERROL SCOTT PARTIN**, Special Agent, ICE; **THEODORE FRANCISCO**, Special Agent, HSI; **TRAVIS CARRIER**, Special Agent, ICE; **TREVOR CHRISTENSEN**, Special Agent, ICE; **GLEN BLACHE**, Agent, ICE; **BRENDA DICKSON**, Agent, ICE; **GEORGE NALLEY**, Agent, ICE; **CLINT CANTRELL**, Special Agent, ICE; **RICKY THORNBURGH**, Agent, ICE; **JONATHAN HENDRIX**, Special Agent, HSI; **RYAN HUBBARD**, Special Agent, ICE; **WAYNE DICKEY**, Special Agent, HSI; **JAMES LILES**, Special Agent, HSI; **MICHAEL PEREZ**, Special Agent, HSI; **KEITH HALE**, Special Agent, ICE; **DENNIS FETTING**, Special Agent, ICE; **DENI BUKVIC**, Agent,

Civil Action No. 3:19-cv-00062-PLR-HBG

CLASS ACTION

SECOND AMENDEND COMPLAINT

ICE; **KASHIF CHOWHAN**, Deportation Officer, ICE, ERO; **BLAKE DIAMOND**, Agent, ICE; **PAUL CRISWELL**, Agent, ICE; **JEFFERY KLINKO**, Agent, ICE; **JEFFREY SCHRODER**, Agent, ICE; **DAVID LODGE**, Deportation Officer, ICE, ERO; **WAYNE HINKLE**, Deportation Officer, ICE, ERO; **CONNIE STEPHENS**, Agent, ICE; **TOMMY PANNELL**, Agent, ICE; **SHANNON HOPE**, Agent, ICE; **TROY MCCARTER**, Agent, ICE; **BRADLEY HARRIS**, Agent, ICE; **JOSHUA MCCREADY**, Agent, ICE; **RONALD APPEL**, Resident Agent in Charge, ICE; **BOBBY SMITH**, Agent, ICE; **ROBERT WHITED**, Agent, ICE; **TREY LUND**, Deputy Field Office Director, ICE; **JOHN WITSELL**, Agent, ICE; **MICHELLE EVANS**, Agent, ICE; **WESLEY ANTHONY**, Agent, ICE; **STEVEN LEDGERWOOD**, Agent, ICE; **FRANCIS COKER**, Agent, ICE; **CHRISTOPHER CANNON**, Deportation Officer, ICE, ERO; **JOHN HEISHMAN**, Chief, Customs and Border Protection (“CBP”); **AUNRAE NAVARRE**, Agent, CBP; **RICKY SMITH**, Agent, CBP; **MATTHEW MOON**, Agent, CBP; **JASON MILLER**, Agent, CBP; **JEFF BEDNAR**, Port Director, CBP; **AUSTIN WILLIAMS**, Port Director, CBP; **NICHOLAS R. WORSHAM**, Special Agent, IRS; **DOES #1-10**, Agents of ICE and Agents of U.S. Customs and Border Protection; in their individual capacities,

Defendants.

INTRODUCTION

1. In April 2018, officers from U.S. Immigration and Customs Enforcement (“ICE”), Homeland Security Operations (“HSI”), Enforcement and Removal Operations (“ERO”),

Customs and Border Protection (“CBP”),¹ and the Tennessee Highway Patrol (“THP”) descended on the Southeastern Provision meatpacking plant (“Plant”) in Bean Station, Tennessee, a small town in the far eastern corner of the state. Heavily armed, the officers formed a perimeter around the Plant and blocked every exit. They used official vehicles to seal off the one public road to the Plant. Law enforcement helicopters flew above the Plant, securing and surveilling the premises. In the Plant’s parking lot, several vans and large bags of plastic “zip tie” handcuffs waited to be used. Moments later, dozens of armed officers in bullet-proof vests rushed into the Plant. They quickly fanned out, many with their firearms drawn, and screamed at the workers inside to stop moving. The workers, terrified and confused, feared the commotion was a terrorist attack, a mass shooting, or a fire.

2. The officers were not searching for terrorists, armed criminals, or violent felons. Rather, the officers were assisting with the execution of an Internal Revenue Service (“IRS”) search warrant for financial documents related to the alleged crimes of the Plant’s owner, James Brantley. However, the officers’ goal that day was far more extensive than the IRS agent revealed in his application for the warrant to the court and what the search warrant ultimately authorized: The officers planned to detain and arrest every worker in the Plant who was Latino.

3. Prior to the raid, the DHS Officers enlisted Tennessee state resources – Tennessee Highway Patrol troopers – to accomplish this goal. The officers also secured the Tennessee National Guard’s armory to use as a location to process individuals who they intended to arrest that day. Then, with only an IRS search warrant for documents in hand, the officers executed the largest workplace immigration raid in nearly a decade. They forcefully seized and arrested

¹ HSI and ERO are two of three directorates within ICE. HSI, ERO, ICE and CBP fall under the U.S. Department of Homeland Security (“DHS”). Throughout the Second Amended Complaint, HSI, ERO, ICE and CBP officers are referred to as the “DHS Officers” or the “DHS Defendants.”

approximately 100 Latino workers. In the process, the officers berated workers with racial slurs, punched one worker in the face, and shoved firearms in the faces of many others. Meanwhile, the officers did not detain the Plant's white workers or subject them to the same aggressive treatment and unreasonable and prolonged detention that the Latino workers experienced.

4. Many of the Latino workers were long-term employees of the Plant who had spent years performing the dangerous work endemic to slaughterhouses, often in unsafe conditions and without receiving legally-mandated overtime pay. The workers and their families are long-time members of the local community, attending school, church, and other local events alongside their neighbors. The day after the raid, nearly 600 children in the community did not show up for school.

5. Prior to the raid, the DHS Officers did not know the identities or the immigration status of any worker in the Plant. They knew only that many of the workers were "Hispanic." Only after detaining the Latino workers – and, in many instances, not until after transporting the workers they detained to an offsite location – did the DHS Officers question the workers about their identity or immigration status. Ultimately, only eleven of the approximately 100 workers arrested were charged with any crime, and of those, none were charged with a violent crime.

6. The U.S. Constitution protects individuals from this kind of law enforcement overreach. The law is clear that seizures based entirely on race or ethnicity; seizures that are overly intrusive, without authority, or prolonged; arrests without probable cause; and the use of excessive force are prohibited by the Fourth and Fifth Amendments. Officers of IRS, ICE, HSI, ERO, CBP, and THP conspired to plan and execute the forceful, prolonged, and unlawful seizure of the Plant's Latino workforce solely on the basis of their race or ethnicity, and without reasonable suspicion, probable cause, or other lawful authority. The DHS Defendants prolonged

the detention of Plaintiffs without any reasonable suspicion, probable cause, or other lawful authority. The DHS Defendants made arrests without a valid arrest warrant or probable cause that each worker had violated U.S. immigration or criminal laws, or other lawful authority. They used unreasonable force to effect detentions or arrests of Plaintiffs. In executing some of these detentions or arrests, the DHS Defendants used brutal and excessive force without any provocation.

7. Plaintiffs are Latinos who were working in the Plant the day of the raid.² They bring this action, individually and on behalf of themselves and a class of similarly situated individuals, to vindicate their rights under the Fourth and Fifth Amendments to the U.S. Constitution. Plaintiffs seek declaratory and monetary relief against the individual Defendants for violations of their clearly established constitutional rights the day of the raid.

JURISDICTION AND VENUE

8. The Court has jurisdiction pursuant to 28 U.S.C. § 1331, 28 U.S.C. § 1343, and 28 U.S.C. § 2201-02.

9. Venue is proper in this District because a substantial part of the events giving rise to Plaintiffs' claims occurred in this district, and because at least one of the Plaintiffs resides in this district. 28 U.S.C. §§ 1391(b), (e).

10. The Court has personal jurisdiction over the Defendants because Defendants' acts and omissions giving rise to this lawsuit took place in in the Eastern District of Tennessee.

PARTIES

Plaintiffs

² This Second Amended Complaint uses "race" and "ethnicity" interchangeably in relation to Latino individuals. *See Peña-Rodriguez v. Colorado*, 137 S. Ct. 855, 863 (2017).

11. Plaintiff **Isabel Zelaya** (“Plaintiff Zelaya”) was working at the Plant the morning of April 5, 2018 in the processing area. At the time of the raid, he had been working at the Plant for approximately two years. He is Latino.

12. Plaintiff **Geronimo Guerrero** (“Plaintiff Guerrero”) was employed as a supervisor at the Plant. He was working at the Plant the morning of April 5, 2018 in the processing area. At the time of the raid, he had been working at the Plant for approximately eighteen years. He is Latino.

13. Plaintiff **Carolina Romulo Mendoza** (“Plaintiff Romulo Mendoza”) was working at the Plant the morning of April 5, 2018 in the processing area. At the time of the raid, she had been working at the Plant for approximately three years. She is Latina.

14. Plaintiff **Luis Roberto Bautista Martínez** (“Plaintiff Bautista Martínez”) was working at the Plant the morning of April 5, 2018 inside the loading dock. At the time of the raid, he had been working at the Plant for approximately two years. He is Latino.

15. Plaintiff **Martha Pulido** (“Plaintiff Pulido”) was working at the Plant the morning of April 5, 2018 in the kill floor area. At the time of the raid, she had been working at the Plant for approximately one year. She is Latina.

16. Plaintiff **Maria del Pilar Gonzalez Cruz** (“Plaintiff Gonzalez Cruz”) was working at the Plant the morning of April 5, 2018 constructing boxes near the processing area. At the time of the raid, she had worked in the Plant for approximately two years. She is Latina.

17. Plaintiff **Catarino Zapote Hernández** (“Plaintiff Zapote Hernández”) was working at the Plant the morning of April 5, 2018 in the processing area. At the time of the raid, he had been working at the Plant for approximately ten years. He is Latino.

Defendants

18. Defendant **Jere Miles** (“Defendant Miles”) was at all times relevant to this action the Special Agent in Charge with HSI New Orleans. He participated in the planning and execution of the Southeastern Provision raid. Defendant Miles is sued in his individual capacity.

19. Defendant **Robert Hammer** (“Defendant Hammer”) was at all times relevant to this action an Assistant Special Agent in Charge with HSI. He oversaw the Southeastern Provision raid. Defendant Hammer is sued in his individual capacity.

20. Defendant **David Vicente Pena** (“Defendant Pena”) was at all times relevant to this action an Agent of ICE ERO Knoxville. He participated in the planning and execution of the Southeastern Provision raid. Defendant Pena is sued in his individual capacity.

21. Defendant **Francisco Ayala** (“Defendant Ayala”) was at all times relevant to this action an Agent of ICE, ERO in Mississippi. He participated in the planning and execution of the Southeastern Provision raid. Defendant Ayala is sued in his individual capacity.

22. Defendant **Billy Riggins** (“Defendant Riggins”) was at all times relevant to this action a Special Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Riggins is sued in his individual capacity.

23. Defendant **Anthony Martin** (“Defendant Martin”) was at all times relevant to this action a Deportation Officer of ICE, ERO. He participated in the planning and execution of the Southeastern Provision raid. Defendant Martin is sued in his individual capacity.

24. Defendant **Matthew Grooms** (“Defendant Grooms”) was at all times relevant to this action a Deportation Officer of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Grooms is sued in his individual capacity.

25. Defendant **Jerrol Scott Partin** (“Defendant Partin”) was at all times relevant to this action a Special Agent of ICE in Memphis. He participated in the planning and execution of the Southeastern Provision raid. Defendant Partin is sued in his individual capacity.

26. Defendant **Theodore Francisco** (“Defendant Francisco”) was at all times relevant to this action a Special Agent of HSI in Knoxville. He participated in the planning and execution of the Southeastern Provision raid. Defendant Francisco is sued in his individual capacity.

27. Defendant **Travis Carrier** (“Defendant Carrier”) was at all times relevant to this action a Special Agent of ICE in Knoxville. He participated in the planning and execution of the Southeastern Provision raid. Defendant Carrier is sued in his individual capacity.

28. Defendant **Trevor Christensen** (“Defendant Christensen”) was at all times relevant to this action a Special Agent of ICE in Knoxville. He participated in the planning and execution of the Southeastern Provision raid. Defendant Christensen is sued in his individual capacity.

29. Defendant **Glen Blache** (“Defendant Blache”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Blache is sued in his individual capacity.

30. Defendant **Brenda Dickson** (“Defendant Dickson”) was at all times relevant to this action an Agent of ICE. She participated in the planning and execution of the Southeastern Provision raid. Defendant Dickson is sued in her individual capacity.

31. Defendant **George Nalley** (“Defendant Nalley”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Nalley is sued in his individual capacity.

32. Defendant **Clint Cantrell** (“Defendant Cantrell”) was at all times relevant to this action a Special Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Cantrell is sued in his individual capacity.

33. Defendant **Ricky Thornburgh** (“Defendant Thornburgh”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Thornburgh is sued in his individual capacity.

34. Defendant **Jonathan Hendrix** (“Defendant Hendrix”) was at all times relevant to this action a Special Agent of HSI. He participated in the planning and execution of the Southeastern Provision raid. Defendant Hendrix is sued in his individual capacity.

35. Defendant **Ryan Hubbard** (“Defendant Hubbard”) was at all times relevant to this action a Special Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Hubbard is sued in his individual capacity.

36. Defendant **Wayne Dickey** (“Defendant Dickey”) was at all times relevant to this action a Special Agent of HSI. He participated in the planning and execution of the Southeastern Provision raid. Defendant Dickey is sued in his individual capacity.

37. Defendant **James Liles** (“Defendant Liles”) was at all times relevant to this action a Special Agent of HSI. He participated in the planning and execution of the Southeastern Provision raid. Defendant Liles is sued in his individual capacity.

38. Defendant **Michael Perez** (“Defendant Perez”) was at all times relevant to this action a Special Agent of HSI. He participated in the planning and execution of the Southeastern Provision raid. Defendant Perez is sued in his individual capacity.

39. Defendant **Keith Hale** (“Defendant Hale”) was at all times relevant to this action a Special Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Hale is sued in his individual capacity.

40. Defendant **Connie Stephens** (“Defendant Stephens”) was at all times relevant to this action an Agent of ICE. She participated in the planning and execution of the Southeastern Provision raid. Defendant Stephens is sued in her individual capacity.

41. Defendant **Tommy Pannell** (“Defendant Pannell”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Pannell is sued in his individual capacity.

42. Defendant **Shannon Hope** (“Defendant Hope”) was at all times relevant to this action an Agent of ICE. She participated in the planning and execution of the Southeastern Provision raid. Defendant Hope is sued in her individual capacity.

43. Defendant **Troy McCarter** (“Defendant McCarter”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant McCarter is sued in his individual capacity.

44. Defendant **Bradley Harris** (“Defendant Harris”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Harris is sued in his individual capacity.

45. Defendant **Joshua McCready** (“Defendant McCready”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant McCready is sued in his individual capacity.

46. Defendant **Ronald Appel** (“Defendant Appel”) was at all times relevant to this action a Resident Agent in Charge of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Appel is sued in his individual capacity.

47. Defendant **Bobby Smith** (“Defendant B. Smith”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant B. Smith is sued in his individual capacity.

48. Defendant **Blake Diamond** (“Defendant Diamond”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Diamond is sued in his individual capacity.

49. Defendant **Paul Criswell** (“Defendant Criswell”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Criswell is sued in his individual capacity.

50. Defendant **Jeffery Klinko** (“Defendant Klinko”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Klinko is sued in his individual capacity.

51. Defendant **Jeffrey Schroder** (“Defendant Schroder”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Schroder is sued in his individual capacity.

52. Defendant **David Lodge** (“Defendant Lodge”) was at all times relevant to this action a Deportation Officer of ERO, ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Lodge is sued in his individual capacity.

53. Defendant **Wayne Hinkle** (“Defendant Hinkle”) was at all times relevant to this action a Deportation officer of ERO, ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Hinkle is sued in his individual capacity.

54. Defendant **Dennis Fetting** (“Defendant Fetting”) was at all times relevant to this action a Special Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Fetting is sued in his individual capacity.

55. Defendant **Deni Bukvic** (“Defendant Bukvic”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Bukvic is sued in his individual capacity.

56. Defendant **Kashif Chowhan** (“Defendant Chowhan”) was at all times relevant to this action a Deportation Officer of ICE, ERO. He participated in the planning and execution of the Southeastern Provision raid. Defendant Chowhan is sued in his individual capacity.

57. Defendant **Robert Whited** (“Defendant Whited”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Whited is sued in his individual capacity.

58. Defendant **Trey Lund** (“Defendant Lund”) was at all times relevant to this action a Deputy Field Office Director of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Lund is sued in his individual capacity.

59. Defendant **John Witsell** (“Defendant Witsell”) was at all times relevant to this action a Special Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Witsell is sued in his individual capacity.

60. Defendant **Michelle Evans** (“Defendant Evans”) was at all times relevant to this action an Agent of ICE. She participated in the planning and execution of the Southeastern Provision raid. Defendant Evans is sued in her individual capacity.

61. Defendant **Westley Anthony** (“Defendant Anthony”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Anthony is sued in his individual capacity.

62. Defendant **Steven Ledgerwood** (“Defendant Ledgerwood”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Ledgerwood is sued in his individual capacity.

63. Defendant **Francis Coker** (“Defendant Coker”) was at all times relevant to this action an Agent of ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Coker is sued in his individual capacity.

64. Defendant **Christopher Cannon** (“Defendant Cannon”) was at all times relevant to this action a Deportation Officer of ERO, ICE. He participated in the planning and execution of the Southeastern Provision raid. Defendant Lodge is sued in his individual capacity.

65. Defendant **John Heishman** (“Defendant Heishman”) was at all times relevant to this action a Chief, CBP. He participated in the planning and execution of the Southeastern Provision raid. Defendant Heishman is sued in his individual capacity.

66. Defendant **Aunrae Navarre** (“Defendant Navarre”) was at all times relevant to this action an Agent of CBP. He participated in the planning and execution of the Southeastern Provision raid. Defendant Navarre is sued in his individual capacity.

67. Defendant **Ricky Smith** (“Defendant R. Smith”) was at all times relevant to this action an Agent of CBP. He participated in the planning and execution of the Southeastern Provision raid. Defendant R. Smith is sued in his individual capacity.

68. Defendant **Matthew Moon** (“Defendant Moon”) was at all times relevant to this action an Agent of CBP. He participated in the planning and execution of the Southeastern Provision raid. Defendant Moon is sued in his individual capacity.

69. Defendant **Jason Miller** (“Defendant Miller”) was at all times relevant to this action an Agent of CBP. He participated in the planning and execution of the Southeastern Provision raid. Defendant Miller is sued in his individual capacity.

70. Defendant **Jeff Bednar** (“Defendant Bednar”) was at all times relevant to this action a Port Director of CBP. He participated in the planning and execution of the Southeastern Provision raid. Defendant Bednar is sued in his individual capacity.

71. Defendant **Austin Williams** (“Defendant Williams”) was at all times relevant to this action a Port Director of CBP. He participated in the planning and execution of the Southeastern Provision raid. Defendant Williams is sued in his individual capacity.

72. Defendant **Nicholas R. Worsham** (“Defendant Worsham”) was at all times relevant to this action a Special Agent of IRS in Johnson City, Tennessee. He prepared and signed the affidavit submitted in support of the application for a warrant to search for financial documents related to the alleged crimes of James Brantley. Defendant Worsham is sued in his individual capacity.

73. The identities and capacities of Defendants Does 1 through 10 are presently unknown to Plaintiffs, and on this basis, they sue these defendants by fictitious names. Plaintiffs’ efforts to obtain the names of the Doe Defendants have only been partially successful as the U.S.

Government is continuing to withhold the identities of some of the Doe Defendants. Plaintiffs will amend the complaint to substitute the true names and capacities of the Doe Defendants when they are ascertained. Plaintiffs are informed, believe, and thereon allege that the Does 1 through 10 are, and were at all times relevant to this action, employees and/or agents of ICE, HSI, CBP and/or ERO and are responsible for the acts and omissions complained of herein including, but not limited to, their unlawful seizure and arrest, and violation of their Fourth and Fifth Amendment rights.³

CLASS ACTION ALLEGATIONS

74. Plaintiffs Maria del Pilar Gonzalez Cruz and Catarino Zapote Hernández (“Class Representative Plaintiffs”) seek to bring the claims in Counts I, II, III, IV, and V pursuant to Rule 23 on behalf of a class defined as:

All Latino individuals working in the Plant on April 5, 2018 who were detained.⁴

75. The Class Representative Plaintiffs seek to bring as a class action the claims set forth in Counts I-V under Federal Rules of Civil Procedure 23(a) and (b)(3), for their requests for damages. These claims satisfy the numerosity, commonality, typicality, adequacy, predominance, and superiority requirements of those provisions.

76. The Class Representative Plaintiffs’ proposed Classes meets the prerequisites of Rule 23(a):

1. **Numerosity:** The Class is so numerous that joinder of all members is impracticable. The Class Representative Plaintiffs believe that the Class consists of

³ The Defendants in Paragraphs 61-71 are named upon information and belief that they participated in the planning and execution of the raid because DHS has not confirmed whether they were involved.

⁴ Plaintiffs reserve the right to revise the class definition based upon information learned after the filing of this action.

approximately 100 individuals. Membership in the Class is readily ascertainable from the DHS Defendants' arrest records from the day of the raid and Defendants' public statements regarding the raid.⁵

2. **Commonality:** There are numerous questions of law or fact common to the Class, and those issues predominate over any question affecting only individual Class Members. The common legal and factual issues include, but are not limited to, the following:

(a) Whether the DHS Defendants' conduct set out in paragraphs 94-153 and Count I violated the Fifth Amendment rights of the Class.

(b) Whether the DHS Defendants' conduct set out in paragraphs 94-153 and Count II violated the Fourth Amendment rights of the Class.

(c) Whether Defendant Worsham's conduct set out in paragraphs 94-110 and Count III violated the Fourth Amendment rights of the Class.

(d) Whether the Defendants conspired to violate the rights of the Class under the equal protection component of the Due Process Clause of the Fifth Amendment to the U.S. Constitution in violation of 42 U.S.C. §§ 1985(3) and 1986.

(e) Whether the Defendants conspired to violate the rights of the Class under the Fourth Amendment to the U.S. Constitution in violation of 42 U.S.C. §§ 1985(3) and 1986.

(f) Whether the Defendants' plan to seize, search, detain, and interrogate only the Latino workers in the Plant was lawful.

(g) Whether the IRS search warrant lacked probable cause.

⁵ *ICE Worksite Enforcement Surge FY18*, <https://www.ice.gov/news/releases/ice-worksite-enforcement-investigations-fy18-surge> (Dec. 11, 2018) (stating that HSI arrested 104 people at the April 5, 2018 raid).

(h) Whether the IRS Search Warrant for documents authorized the DHS Defendants to detain every Latino worker on the premises.

(i) Whether the Class Representative Plaintiffs and the Class Members are entitled to damages and other monetary and declaratory relief.

3. **Typicality:** The claims asserted by Class Representative Plaintiffs are typical of the claims of the Class, in that the Plaintiffs Gonzalez Cruz and Zapote Hernández, like all Class Members, (a) are Latino and (b) were targeted by the Defendants' conspiracy to detain and practice of detaining all the Latino workers solely based on their ethnicity or race and without reasonable suspicion, probable cause, or any lawful authority. Further, Plaintiff Gonzalez Cruz and Plaintiffs Zapote Hernández, and each member of the proposed Class have been similarly injured by Defendants' misconduct.

4. **Adequacy:** The Class Representative Plaintiffs will fairly and adequately protect the interests of the Class. The Class Representative Plaintiffs have retained attorneys experienced in class actions and complex litigation, including litigation arising under violations of constitutional rights. The Plaintiffs and their counsel will vigorously prosecute this litigation. Neither the Plaintiffs nor their counsel have interests that conflict with the interests of the other Class Members.

77. Plaintiffs' proposed Class meets the requirements of certification under Rule 23(b)(3):

5. **Predominance of Common Questions:** The questions of law or fact common to the Class, identified above in paragraph 65(2), predominate over any questions affecting only individual members, including the legality of the Defendants' conspiracy to detain and practice of detaining all the Latino workers solely based on their ethnicity or race, which

ensnared all Plaintiffs and Class Members; and the legality of the Defendants' conspiracy to seize and practice of seizing all the Latino workers without lawful basis.

6. **Superiority:** The Class Representative Plaintiffs and Class Members have all suffered damages as a result of Defendants' wrongful conduct. A class action is superior to other available methods for the fair and efficient adjudication of the controversy. Treatment as a class action will permit a large number of similarly situated persons to adjudicate their common claims in a single forum simultaneously, efficiently, and without the duplication of effort and expense that numerous individual actions would engender. Class treatment will also permit the adjudication of claims by many members of the proposed Class who could not individually afford to litigate a claim such as is asserted in this Second Amended Complaint. Additionally, a class action is superior because the Class is comprised of many individuals who are low-income, do not speak English as their native language, and are geographically dispersed. Finally, this class action likely presents no difficulties in management that would preclude maintenance as a class action.

78. Alternatively, class-wide liability and punitive damages liability under the theories advanced in this action are properly certified under Federal Rule of Civil Procedure 23(c)(4) because such claims present only common issues, the resolution of which would advance the interests of the parties in an efficient manner.

STATEMENT OF FACTS

Southeastern Provision Meatpacking Plant

79. The Plant is located at 1617 Helton Road, Bean Station, Tennessee, in Grainger County, just north of Morristown. Its primary business, at all times relevant to this action, was the processing and packaging of beef.

80. Bean Station is a quiet community with a population of just over 3,000 people.

81. The Plant sits on top of a hill in a sparse, remote area of Bean Station. Access to the Plant is achieved by way of Helton Road, a windy, two-lane country road off Highway 11 West.

82. The Plant consists of a collection of smaller structures resembling storage sheds, connected to a large, two-story warehouse.

83. Inside the Plant there are three offices, a locker room, bathrooms, several large freezer sections, a processing area, and a “kill floor.” Some of the areas are not separated by solid doors or walls, but rather are completely open or separated by clear, heavy curtains.

84. The workers stored personal items in the locker area and would retrieve their uniforms there at the beginning of their shift.

85. The processing area was one of two main work areas in the Plant. In the processing area, workers prepared and packaged cuts of meat to be distributed for sale.

86. Approximately sixty workers were working in the processing area on April 5, 2018.

87. The second main work area at the Plant was the “kill floor,” which is where workers butchered and cut apart the cows to be processed into meat.

88. Approximately forty workers were working on the “kill floor” on April 5, 2018.

89. The Plant's physical and electronic documents were stored in offices and a locked storage room in the Plant. They are not accessible to the workers employed on the processing and kill floor areas.

90. Most people working at the Plant arrived sometime before 7 a.m. each day, five or six days each week, to put on their uniforms and "clock-in" before the morning shift began at 7 a.m.

91. The work was grueling and physically demanding as well as hazardous. The Plant lacked first aid providers, guardrails for high platforms, and protective equipment and wash stations to protect workers against cuts, chemical burns, and temperature extremes.

92. Many of the workers had been working at the Plant for several years, some over a decade.

93. The workers began their shift at 7 a.m. and worked until 9:30 a.m., when they received their first break. The break lasted for 15 minutes, during which time workers were permitted to use the bathroom, exit the building, and/or make phone calls.

The Internal Revenue Service Search Warrant

94. At some point prior to April 5, 2018, the IRS began investigating the owner of the Plant, Mr. James Brantley ("Brantley"), in relation to various alleged tax and immigration law violations. As part of that investigation, the IRS obtained a search warrant authorizing the search for and seizure of an enumerated list of items. *See In re the Search of: 1617 Helton Road, Bean Station, TN 37708* (E.D. Tenn. Apr. 2, 2018) (attached hereto as Exhibit 1) ("IRS Search Warrant"); Affidavit in Support of a Search Warrant, at Attachment B (attached hereto as Exhibit 2).

95. James Brantley was the only named suspect listed in the Search Warrant for whom the IRS claimed to have probable cause to believe was violating the law. *See Exhibit 1.*

96. The items to be seized pursuant to the IRS Search Warrant were, among other things, all “records, documents and materials...related to the financial activities of James Brantley.” *See* Ex. 1, at 5.

97. The IRS Search Warrant includes an aerial layout of the Plant, noting that the office was separate from the Plant, the Inedible Storage, and the Warehouse. *Id.* at 3.

98. The IRS Search Warrant did not authorize the detention or arrest of any individual(s), nor name any other individual(s) as the target of the IRS Search Warrant for suspected criminal activity.

99. Neither the Affidavit nor the IRS Search Warrant disclosed the plan to seize, detain and arrest as many as 100 workers present during the execution of this Warrant. Nor did the Affidavit or the IRS Search Warrant make any mention of the IRS involving ICE agents in the execution of its Warrant.

100. The Affidavit submitted with the IRS Search Warrant heavily relies on information from a Confidential Informant (“CI”). The only information provided in the Affidavit about the CI is that he or she was “working with law enforcement.” The Affidavit provides no other information as to how the CI was recruited, what agency the CI was working with, any criminal history of the CI, or any indicia of the CI’s reliability. Ex. 2, at 7-10.

101. The Affidavit also does not set forth facts learned from the CI that were independently corroborated by law enforcement to prove the CI’s reliability.

102. The Affidavit does not state or imply any potential safety concerns involved in the execution of the IRS Search Warrant. *See generally id.* The Affidavit does not mention any concern regarding weapons or dangerous persons expected to be present during the IRS Search Warrant.

103. The Affidavit states that the Plant's employees are "Hispanic" on five separate occasions. *See id.* at 7-10.

104. The Affidavit notes the CI observed that many of the Plant's workers are "Hispanic," and that the CI believes many are "exploited" and without "legal recourse for workplace mistreatment." *Id.* at 10.

105. The Affidavit states that "personnel" at Brantley's bank said, during a tour of the Plant, "they were told [by the owner's wife] that the employees were Hispanic and were paid weekly with cash." *Id.* at 7.

106. The Affidavit omits information as to the identity, credibility, background, or reliability of the "personnel" who allegedly reported the Plant representatives' statements about payment of wages in cash to "Hispanic" workers.

107. The Affidavit states that a Plant employee the CI "knows from living in Morristown" told the CI he could work at the Plant without lawful documentation. *Id.* at 7-8. The Affidavit omits information as to the identity, credibility, background or reliability of the Plant employee who so informed the CI.

108. According to the Affidavit, HSI and THP had already been participating in the IRS investigation of Brantley before the search warrant was obtained. *Id.* at 6.

109. The presence of the DHS Defendants at the Plant on the morning of April 5th was pursuant to the IRS Search Warrant.

110. The DHS Defendants did not obtain a separate criminal or administrative warrant related to their presence and activities in the Plant that day.

The Raid

111. The morning of April 5 began like most other mornings at the Plant.

112. Plaintiffs and the Class Members arrived sometime before 7 a.m. to prepare for their shift, which began promptly at 7 a.m.

113. Once the shift began, Plaintiffs and the Class Members were all working at their respective stations in the Plant.

114. None of the Plaintiffs or the Class Members worked in the Plant's offices.

115. At around 9 a.m., near the morning break time, when the workers were anticipating the opportunity to take a break from their work to attend to personal needs, such as using the restroom, the raid began.

116. Officers from ICE, HSI, ERO, CBP, and THP formed a perimeter around the Plant. Multiple armed agents secured every Plant exit.

117. The THP officers sealed off the one public road to the Plant with official vehicles.

118. THP helicopters surveilled and secured the Plant from above.

119. Dozens of officers from ICE burst, unannounced, into the Plant. They poured through the Plant's multiple doors and quickly fanned out throughout its interior.

120. The DHS Officers wore black uniforms with bullet-proof vests, and they were armed. Some of the officers had their firearms on display or drawn.

121. The DHS Officers did not wear nametags or identify themselves by name to the workers. Most officers did not verbally identify themselves by agency.

122. The DHS Officers were yelling and loudly ordering the Plaintiffs and the Latino workers to freeze and to stop working.

123. The commotion caused by the DHS Officers' sudden and forcible entry into the Plant terrorized the Plaintiffs and the Class Members. In the first minutes of the raid, many

workers were confused and uncertain about who the officers were and the purpose of their presence inside of the Plant.

124. Some DHS Officers ordered individuals to put their hands in the air.

125. Some DHS Officers pointed guns at workers while they ordered them to stop working.

126. Individuals who had work equipment on their person were ordered to take off any equipment. Others were ordered to put down any tools they were holding.

127. None of the Latino workers were permitted to continue working.

128. Plaintiffs and the Latino workers were not permitted to use the restroom or otherwise move freely about the Plant as they would have done on their break time.

129. The DHS Officers then ordered the Plaintiffs and the Latino workers to walk from their work station into a line up.

130. Many of the workers were restrained during the Plant seizure with plastic zip ties, including Plaintiffs Gonzalez Cruz, Zapote Hernández, Zelaya, Pulido, Bautista Martínez, and Guerrero. Other workers witnessed the DHS Officers handcuff their coworkers and were fearful that they too might be handcuffed.

131. After forcing the workers to line up, the DHS Officers ordered the Plaintiffs and the other Class Members to walk outside of the Plant and told them to remain in line outside.

132. When they went outside the Plant, Plaintiffs saw that the THP officers had secured the perimeter, the parking lot, and the public road leading to the Plant. Plaintiffs saw and heard two helicopters circling overhead.

133. Some of the THP officers outside stood behind large machine guns that were pointed at the Plant and the workers.

134. Plaintiffs and the Class Members, seeing the number of officers, the firearms, the helicopters, and the police cars, felt terrified.

135. While detained outside the Plant, the workers were not allowed to move freely or talk. When a worker attempted to speak, officers ordered the worker to shut up.

136. As a result of the actions of the DHS Defendants, Plaintiffs and the Class Members were not free to leave.

137. Under these highly coercive conditions, the DHS Defendants interrogated some of the workers about their immigration status at the Plant.

138. After being detained, some for more than an hour, Plaintiffs and all the Latino workers were loaded into vans and transported to a National Guard Armory (“Armory”) located at 5255 E. Andrew Jackson Highway, Russellville, Tennessee 37860, where they were interrogated and fingerprinted.

139. The DHS Officers did not tell the workers where they were being taken.

140. The Armory is an approximately twenty- to thirty-minute drive from the Plant.

141. Some workers were not questioned about their identity or immigration status until after they were transported from the Plant to the Armory, including Plaintiffs Zelaya, Romulo Mendoza, Bautista Martínez, Guerrero, and Pulido.

142. Throughout the raid, various DHS Officers berated the workers with racial slurs.

143. During his detention, Plaintiff Zapote Hernández heard a DHS Officer who was Latino make fun of Mexicans. The officer addressed a dog that was on the premises and said, “I suppose you are from Mexico, too.”

144. Plaintiff Guerrero saw Defendant Pena yell angrily at a worker. Defendant Pena shouted at this worker, stating that the problem with them (the workers) was that they lived in the

United States but did not speak English. Plaintiff Guerrero observed this worker shaking as Defendant Pena yelled at him. The worker had asked Defendant Pena not to put the handcuffs on so tight. Defendant Pena's only response was that the worker could not tell Defendant Pena what to do. Plaintiff Guerrero was upset upon seeing this treatment.

145. Throughout the raid, the Defendants did not treat the white workers in the Plant in the same intrusive and aggressive manner nor subject them to the prolonged detention that the Latino workers experienced.

146. The white workers were not restrained and were not handcuffed. They did not have guns pointed at them. Many were standing outside smoking while the Latinos were seized and detained.

147. The white workers were not interrogated.

148. The white workers were not loaded into vans and taken to the Armory.

149. The Defendants planned and executed a course of action that led to the seizure, interrogation, and detention of Plaintiffs and the Class Members in the Plant solely on the basis of their race or ethnicity.

150. The DHS Defendants seized the Plaintiffs and every Latino worker without individualized suspicion or valid authority, in violation of the Fourth Amendment.

151. The DHS Defendants' actions in detaining Plaintiffs and the Class Members also exceeded the scope of a reasonable detention in violation of the Fourth Amendment.

152. The DHS Defendants executed the raid based on invidious animus against the workers who were of Latino race and ethnicity, in violation of the Fifth Amendment.

153. As a result of the Defendants' actions, the Plaintiffs and the Class Members have suffered damages, including but not limited to actual damages, pain and suffering, humiliation, fear, and emotional distress.

The Claims of Named Plaintiff Isabel Zelaya

154. Plaintiff Zelaya was working in the processing area of the Plant the morning of the raid when he saw two DHS Officers approach his work station with their hands on their firearms. One officer was a brunette male. The other officer was a brunette female. He then saw many more officers approach. He was shocked and scared when he saw the armed officers' approach.

155. He observed the DHS Officers treat the Latino workers in his work area aggressively. These two officers pointed their guns at the workers and shoved some to the ground. Plaintiff Zelaya also observed armed officers blocking the exits from the Plant.

156. Plaintiff Zelaya was terrified by the aggressive treatment of his coworkers he observed. He feared that these two DHS Officers would point a firearm at him or throw him to the ground as well.

157. The same two DHS Officers ordered Plaintiff Zelaya to throw his apron and work tools on the ground. He immediately complied.

158. During this time, Plaintiff Zelaya saw these officers point a firearm at his son because he did not take off his tool belt fast enough. Plaintiff Zelaya feared for his son's safety.

159. The same two DHS Officers then forced him and the other Latino workers in his work area to gather in a central area of the Plant.

160. Plaintiff Zelaya is legally authorized to live and work in the United States.

161. While gathered with the other workers, Plaintiff Zelaya told a Latino DHS officer who spoke Spanish that he had legal status and offered to show him documents as proof. He

took out his Employment Authorization Card and handed it to the officer. The DHS officer grabbed the card from him and told him in Spanish that they needed to “investigate” him. The officer then proceeded to handcuff Plaintiff Zelaya.

162. Once gathered, the DHS Officers, including the Latino officer, walked Plaintiff Zelaya and the other workers outside the Plant.

163. The officers then transported Plaintiff Zelaya in a van to the Armory.

164. The DHS Officers at the Armory interrogated Plaintiff Zelaya. Finally, after establishing proof of his legal status, Plaintiff Zelaya was released.

165. Plaintiff Zelaya was detained for approximately two hours.

166. Plaintiff Zelaya was not questioned about his identity, work authorization, or immigration status prior to being detained at the Plant. When Plaintiff Zelaya offered proof of his legal authorization to live and work in the United States, the DHS Officers ignored this proof and prolonged his detainment unnecessarily by transporting him to the Armory.

The Claims of Named Plaintiff Carolina Romulo Mendoza

167. Plaintiff Romulo Mendoza was working in the processing area of the Plant the morning of the raid. When the raid began, she was walking back to her work station from the restroom.

168. When Plaintiff Romulo Mendoza exited the restroom, she observed several armed officers inside the Plant.

169. Two officers ordered her not to leave or to resist. Both officers were male. One officer was Latino and the other appeared to be of South Asian descent. The two officers told her to be quiet and to put her hands on her head. Then they ordered her into a line up. She was

afraid the officers would physically harm her if she did not comply, as the officers had firearms. She complied with their orders.

170. The DHS Officers then walked Plaintiff Romulo Mendoza outside. Outside, she saw that the THP officers had blocked the exits to the Plant. She also saw patrol cars blocking the public road to the Plant. She saw at least one law enforcement helicopter was flying above.

171. Plaintiff Romulo Mendoza was terrified and could only think of her family.

172. The DHS Officers, including one officer who was an African-American woman, eventually loaded Plaintiff Romulo Mendoza and approximately fifteen other Latino workers into vans. The officers did not tell Plaintiff Romulo Mendoza or the other workers in the van where they were going.

173. The van transported Plaintiff Romulo Mendoza to the Armory. At the Armory, Plaintiff Romulo Mendoza was patted down, and her belongings were taken from her. The DHS Officers interrogated and fingerprinted Plaintiff Romulo Mendoza.

174. Plaintiff Romulo Mendoza was detained for approximately ten hours.

175. Plaintiff Romulo Mendoza was not questioned about her identity, work authorization, or immigration status prior to being detained at the Plant. Nor was Plaintiff Romulo Mendoza questioned about her identity, work authorization, or immigration status prior to being transported to the Armory.

The Claims of Named Plaintiff Martha Pulido

176. Plaintiff Pulido was working on the kill floor area of the Plant the morning of the raid.

177. She suddenly heard officers ordering workers to put their hands up. The Plant quickly became a chaotic scene filled with armed officers shouting. She observed an officer

point a firearm at a woman who had tripped and fallen and another tall, white, male officer pushing another female worker. She also observed another male officer punch Plaintiff Guerrero.

178. As a result of the Defendants' actions, Plaintiff Pulido feared that the officers would physically harm her if she did not comply with their orders. She was terrified. She complied with their orders to put up her hands.

179. The DHS Officers then ordered Plaintiff Pulido and other workers to exit the Plant. Once Plaintiff Pulido was outside the Plant, officers handcuffed her wrists with zip ties.

180. During this time, Plaintiff Pulido was not free to move around or even to talk. When a worker attempted to speak, officers ordered them to shut up. She was extremely humiliated by this treatment. She felt like she was being treated like a dangerous criminal.

181. Plaintiff Pulido observed that white workers were outside the Plant. Those workers were allowed to walk around freely, were not handcuffed, and were allowed to smoke. None of the officers interrogated the white workers.

182. Eventually, Plaintiff Pulido and other Latino workers were transported to the Armory.

183. Upon arrival at the Armory, her personal items were confiscated. Plaintiff Pulido was interrogated and fingerprinted. She was restrained in zip ties until she was fingerprinted.

184. Plaintiff Pulido was detained for approximately fourteen hours.

185. Plaintiff Pulido was not questioned about her identity, work authorization, or immigration status prior to being detained at the Plant. Nor was she questioned about her identity, works authorization, or immigration status prior to being transported to the Armory.

The Claims of Named Plaintiff Geronimo Guerrero

186. Plaintiff Guerrero, a long-term employee and supervisor at the Plant, was in the processing area the morning of the raid.

187. From his location, Plaintiff Guerrero observed numerous officers with firearms inside the Plant.

188. A short, white, male officer (“Defendant Doe 1”), who was armed, approached Plaintiff Guerrero and shouted at him to come towards him. Defendant Doe 1 simultaneously made a fist and intentionally struck Plaintiff Guerrero in the face.

189. Immediately after Defendant Doe 1 punched Plaintiff Guerrero, a second male officer who was tall and of Asian descent arrived and grabbed Plaintiff Guerrero by the arm. Defendant Doe 1 and the other officer pushed Plaintiff Guerrero against the wall and patted him down.

190. Plaintiff Guerrero asked the officers why he had been struck, but he did not receive a response. Plaintiff Guerrero was extremely fearful because he did not know who the officers were. The officers never identified themselves nor provided him any information about their presence in the Plant. Plaintiff Guerrero was not informed that he was being detained pursuant to the execution of an IRS Search Warrant.

191. He was confused and scared because there were many officers with firearms, and he had just been punched in the face for no apparent reason. He thought that the officers were coming to kill him and the rest of the workers.

192. After the officers patted down Plaintiff Guerrero, an officer handcuffed him with zip ties and officers ordered him to sit down just outside one of the Plant’s offices. Plaintiff Guerrero remained handcuffed just outside the office entrance with other Latino workers who

had also been handcuffed and required to remain there. The Plant's general supervisor, Carl Kinser, who is white, was outside the office. He was permitted to move freely and was not handcuffed.

193. Plaintiff Guerrero remained handcuffed and was required to remain seated at the office entrance area for about an hour. While detained in this area, Plaintiff Guerrero was in a complete state of shock and fear. Other officers patrolled this area closely, watching over the workers and ordering them not to move.

194. Eventually the officers escorted Plaintiff Guerrero outside the Plant, where he continued to be detained.

195. He was eventually taken to the Armory with the other Latino workers, where he was interrogated and fingerprinted.

196. At the Armory, Plaintiff Guerrero continued to be restrained by plastic zip ties.

197. Plaintiff Guerrero was detained for approximately twelve hours.

198. Plaintiff Guerrero was not questioned about his identity, work authorization, or immigration status prior to being detained at the Plant. Nor was Plaintiff Guerrero questioned about his identity, work authorization, or immigration status prior to being transported to the Armory.

The Claims of Named Plaintiff Luis Roberto Bautista Martínez

199. Plaintiff Bautista Martínez was working inside the loading dock of the Plant the morning of the raid.

200. Once the raid began, three white male officers approached him with their firearms pointed at him. Plaintiff Bautista Martínez thought they were terrorists and were going to kill him. He stopped working and put his hands up in the air.

201. A tall, white, male officer grabbed Plaintiff Bautista Martínez by the shirt to walk him outside.

202. Outside, Plaintiff Bautista Martínez saw many DHS and THP officers surrounding the Plant and blocking the exits. He saw patrol cars and a helicopter flying above.

203. One of Plaintiff Bautista Martínez's coworkers fell on the ground, and officers immediately ran toward him. One officer put his foot on the coworker's head and pointed a gun at him. Two other officers handcuffed the worker.

204. Seeing this, Plaintiff Bautista Martínez feared that the officers would treat him with the same level of aggression.

205. Plaintiff Bautista Martínez and other workers were lined up outside the Plant. Officers handcuffed him while he was standing outside. Plaintiff Bautista Martínez and some of his coworkers were left standing handcuffed outside of the Plant for about two hours.

206. During this time, Plaintiff Bautista Martínez asked Defendant Ayala if a pregnant coworker could sit down. Defendant Ayala refused and told Plaintiff Bautista Martínez to "Shut [his] f--king mouth."

207. Plaintiff Bautista Martínez asked several times for permission to use the restroom himself. Defendant Ayala refused and cursed at Plaintiff Bautista Martínez, saying to him "You don't have rights here" and calling him "Mexican sh-t."

208. Eventually, after Plaintiff Bautista Martínez said that he urgently needed to use the bathroom, a white, male DHS officer ("Defendant Doe 2") grabbed him by the shoulder and led him to an outside area behind a trailer. Defendant Doe 2 held a firearm to Plaintiff Bautista Martínez's head and told him to relieve himself right there, in plain sight of the other officers outside. Then Defendant Doe 2 laughed and cursed at him. Plaintiff Bautista Martínez felt

extremely humiliated by this treatment. Plaintiff Bautista Martinez was still restrained in handcuffs during this time and had made no attempt to resist the officer's instructions.

209. Approximately two hours after Plaintiff Bautista Martínez was moved outside the Plant, an officer grabbed him by his clothes and pushed him into a van along with the other Latino workers. The van transported Plaintiff Bautista Martínez to the Armory. No white workers were transported to the Armory in the van with Plaintiff Bautista Martínez.

210. While in the van, a male officer, who was tall, overweight, white, and had long blond hair down to his waist, took out his phone and took a picture of himself with the Latino workers in the van, yelling "selfie!" while he snapped the shot.

211. At the Armory, Plaintiff Bautista Martínez continued to be handcuffed with plastic zip ties.

212. During this time, Defendant Ayala berated Plaintiff Bautista Martínez and the other workers. He told them in Spanish to "shut [their] f--king mouths," to not ask any questions, and yelled that they were "going back to [their] damned s--t country."

213. Eventually, Plaintiff Bautista Martínez was interrogated and fingerprinted at the Armory.

214. Plaintiff Bautista Martínez was detained for approximately twelve hours.

215. Plaintiff Bautista Martínez was not questioned about his identity, work authorization, or immigration status prior to being detained at the Plant. Nor was Plaintiff Bautista Martinez questioned about his identity, work authorization, or immigration status prior to being transported to the Armory.

CAUSES OF ACTION

FIRST CAUSE OF ACTION

Equal Protection Deprivation in Violation of Fifth Amendment

On Behalf of the Class

(Bivens claim against DHS Defendants)

216. Plaintiffs reallege and incorporate by reference each and every allegation contained in paragraphs 1-215 as if fully set forth herein.

217. The DHS Defendants stopped, detained, searched, seized, and/or arrested Plaintiffs and the Class solely on the basis of Plaintiffs' and Class Members' race and ethnicity, in violation of the equal protection component of the Due Process Clause of the Fifth Amendment to the U.S. Constitution.

218. The DHS Defendants did not seize, detain, search, and/or arrest the similarly situated white workers in the Plant on the day of the raid.

219. The DHS Defendants prolonged the detention and seizure of Plaintiffs and the Class solely on the basis of Plaintiff's and Class Members' race and ethnicity, in violation of the equal protection component of the Due Process Clause of the Fifth Amendment to the U.S. Constitution.

220. The DHS Defendants' actions were motivated by discriminatory intent and racial animus toward Plaintiffs and the Class.

221. The actions of the DHS Defendants were intentional, malicious, and reckless and reflect a callous disregard or indifference to the civil rights of Plaintiffs and the Class.

222. The DHS Defendants violated the Plaintiffs' and the Class Members' clearly established rights under the equal protection component of the Due Process Clause of the Fifth Amendment to the U.S. Constitution

223. As a result of the DHS Defendants' actions, Plaintiffs and Class Members suffered damages, including but not limited to actual damages, loss of liberty, humiliation, fear, and emotional distress.

SECOND CAUSE OF ACTION
Unreasonable Seizures and/or Arrests in Violation of the Fourth Amendment
On Behalf of the Class
(Bivens claim against DHS Defendants)

224. Plaintiffs reallege and incorporate by reference each and every allegation contained in paragraphs 1-215 as if fully set forth herein.

225. The DHS Defendants seized the Plaintiffs and the Class Members when dozens of armed agents in bullet-proof vests surrounded the Plant, blocked the one public road to the Plant with numerous law enforcement vehicles, controlled the perimeter of the Plant from above with helicopters, secured the Plant's exits and entrances, aggressively burst into the Plant, and loudly ordered the Plaintiffs and the Class Members to cease moving.

226. The DHS Defendants conducted the factory seizure without a warrant authorizing the seizure of each individual, reasonable, articulable suspicion that each Plaintiff and Class Member had violated U.S. immigration laws or any other U.S. criminal laws, or exigent circumstances.

227. The IRS Search Warrant did not authorize the DHS Defendants' prolonged, intrusive, and forceful seizure of the Plaintiffs and the Class members.

228. The DHS Defendants' actions were unreasonable in that they used excessive force to effect the detention of the Plaintiffs and Class Members.

229. The DHS Defendants' forceful and intrusive factory seizure far-exceeded the scope of any allowable investigatory detention or detention incident to a search.

230. The DHS Defendants' prolonged Plaintiffs' and Class Members' detention unreasonably without reasonable suspicion, probable cause, or other lawful authority.

231. The DHS Defendants violated the clearly established Fourth Amendment rights of the Plaintiffs and the Class to be free from unreasonable searches and seizures.

232. As a result of the DHS Defendants' conduct, the Plaintiffs and the Class members suffered damages, including but not limited to actual damages, pain and suffering, humiliation, fear, and emotional distress.

THIRD CAUSE OF ACTION
Unreasonable Seizures and/or Arrests in Violation of the Fourth Amendment
On Behalf of the Class
(Bivens claim against Defendant Worsham)

233. Plaintiffs reallege and incorporate by reference each and every allegation contained in paragraphs 1-215 as if fully set forth herein.

234. The IRS search warrant was not supported by probable cause.

235. Defendant Worsham secured a search warrant by providing the Court with a false and misleading affidavit.

236. Defendant Worsham deliberately and/or recklessly made false statements and/or omissions in the Affidavit to the warrant that were material to the court's finding of probable cause.

237. Defendant Worsham misrepresented the plan to seize, detain and arrest as many as 100 workers and made it appear that the sole purpose behind the search was to investigate the alleged crimes of the Plant's owner without disclosing a true motivation, which was to arrest all of the "Hispanic" workers in the Plant.

238. Defendant Worsham omitted information regarding the identity, credibility, background, and reliability of material witnesses to the investigation whose hearsay was relied upon in the Affidavit.

239. Defendant Worsham omitted information regarding the identity, credibility, background, and reliability of the Confidential Informant (“CI”) whose hearsay was relied upon in the Affidavit. Defendant Worsham omitted all information relating to any criminal history of the CI or other material witnesses relied upon in the Affidavit.

240. Defendant Worsham omitted any information from which the issuing judge could have a basis for finding that the CI was reliable or credible and was in a position to know the information provided.

241. Defendant Worsham omitted any information regarding any independent law enforcement corroboration of the information provided by the CI.

242. Defendant Worsham omitted necessary factual background information and submitted his affidavit based on conclusory statements insufficient to provide the magistrate with a substantial basis for determining the existence of probable cause.

243. Defendant Worsham obtained the IRS Search Warrant, at least in part, as a pretext to seize and arrest workers of the Plant without lawful authority to do so.

244. As a result, the search performed pursuant to the warrant was unlawful in violation of the Fourth Amendment.

245. As a result, the detentions and arrests that occurred attendant to the warrant were unlawful in violation of the Fourth Amendment.

246. As a result of Defendant Worsham's actions, Plaintiffs and Class Members suffered damages, including but not limited to actual damages, loss of liberty, humiliation, fear, and emotional distress.

FOURTH CAUSE OF ACTION
42 U.S.C. § 1985: Conspiracy to Violate Civil Rights
On Behalf of the Class
(Bivens claim against All Defendants)

247. Plaintiffs reallege and incorporate by reference each and every allegation contained in paragraphs 1-215 as if fully set forth herein.

248. By agreeing to stop, detain, search, seize, and/or arrest Plaintiffs and the Class solely on the basis of their Latino race and ethnicity, Defendants conspired to deprive Plaintiffs and the Class of the equal protection of the law of the United States, in violation of 42 U.S.C. § 1985(3).

249. By agreeing to stop, detain, search, and/or seize Plaintiffs and the Class through forceful and intrusive means, without a warrant supported by sufficient probable cause, and without individualized reasonable suspicion, Defendants conspired to deprive Plaintiffs and the Class of their right to be free from unreasonable searches and seizures, in violation of 42 U.S.C. § 1985(3).

250. As a result of Defendants' actions, Plaintiffs and Class Members suffered damages, including but not limited to actual damages, loss of liberty, humiliation, fear, and emotional distress.

FIFTH CAUSE OF ACTION
42 U.S.C. § 1986: Failure to Prevent Violation of Civil Rights
On Behalf of the Class
(Bivens claim against All Defendants)

251. Plaintiffs reallege and incorporate by reference each and every allegation contained in paragraphs 1-215 as if fully set forth herein.

252. Defendants, having knowledge of the conspiracy to violate Plaintiffs' and the Class' civil rights as specified in Count IV above, willfully or negligently failed to prevent the wrongful acts complained of herein, in violation of 42 U.S.C. § 1986.

253. As a result of Defendants' actions, Plaintiffs and Class Members suffered damages, including but not limited to actual damages, loss of liberty, humiliation, fear, and emotional distress.

SIXTH CAUSE OF ACTION

Unreasonable Seizures and/or Arrests in Violation of the Fourth Amendment On Behalf of Plaintiffs Isabel Zelaya, Geronimo Guerrero, Carolina Romulo Mendoza, Luis Bautista Martínez, and Martha Pulido (Bivens claim against DHS Defendants)

254. Plaintiffs reallege and incorporate by reference each and every allegation contained in paragraphs 1-215 as if fully set forth herein.

255. The DHS Defendants seized the Plaintiffs when dozens of armed agents in bullet-proof vests surrounded the Plant, blocked the one public road to the Plant with numerous law enforcement vehicles, controlled the perimeter of the Plant from above with helicopters, secured the Plant's exits and entrances, aggressively burst into the Plant, loudly ordered them to cease moving, and detained them.

256. The DHS Defendants conducted the seizures without a warrant authorizing the seizure of each individual, reasonable, articulable suspicion that each Plaintiff had violated U.S. immigration laws or any other U.S. criminal laws, or exigent circumstances.

257. The IRS Search Warrant did not authorize the Defendants' prolonged, intrusive, and forceful seizure of the Plaintiffs.

258. The IRS Search Warrant was not supported by probable cause.

259. The DHS Defendants violated the clearly established Fourth Amendment rights of the Plaintiffs to be free from unreasonable searches and seizures.

260. The DHS Defendants unlawfully detained and seized the Plaintiffs when they detained Plaintiffs at the Plant.

261. The DHS Defendants unreasonably prolonged the detention and seizure of the Plaintiffs by transporting them to the Armory without asking them a single question about their identity, work authorization, or immigration status.

262. The DHS Defendants arrested the Plaintiffs without an arrest warrant, probable cause that they had violated U.S. immigration or criminal laws, or exigent circumstances in violation of their Fourth Amendment rights.

263. The right to be free from seizures and arrests that are not supported by a warrant, probable cause, or exigent circumstances is clearly established.

264. As a result of the DHS Defendants' conduct, the Plaintiffs have suffered damages, including but not limited to actual damages, pain and suffering, humiliation, fear, and emotional distress.

SEVENTH CAUSE OF ACTION
Excessive Force in Violation of Fourth Amendment
On Behalf of Plaintiff Geronimo Guerrero
(Bivens claim against Defendant Doe 1)

265. Plaintiff Guerrero realleges and incorporates by reference each and every allegation contained in paragraphs 1-215 as if fully set forth herein.

266. The Defendants engaged in unreasonable, excessive force when effectuating the seizure and arrest of Plaintiff Guerrero in violation of his Fourth Amendment rights.

267. Defendant Doe 1 violated Plaintiff Guerrero's clearly established right to be free from excessive force under the Fourth Amendment.

268. Defendant Doe 1 brutally and without provocation struck Plaintiff Guerrero in the face when he approached Plaintiff Guerrero at his work area in the Plant the day of the raid.

269. Plaintiff Guerrero did not present a safety threat to Defendant Doe 1. When Defendant Doe 1 approached Plaintiff Guerrero, he was in his work area and was unarmed.

270. Plaintiff Guerrero was attempting to comply with Defendant Doe 1's orders when the officer approached. Plaintiff Guerrero was not attempting to flee or resist detention.

271. Defendant Doe 1 lacked any particularized suspicion that Plaintiff Guerrero had violated U.S. immigration laws or committed a crime.

272. The right to be free from the use of excessive force is clearly established.

273. As a result of Defendant Doe 1's actions, Plaintiff Guerrero has suffered damages, including but not limited to actual damages, pain and suffering, humiliation, fear, and emotional distress.

EIGHTH CAUSE OF ACTION

**Excessive Force in Violation of Fourth Amendment
On Behalf of Plaintiff Luis Roberto Bautista Martínez
(*Bivens claim against Defendant Doe 2*)**

274. Plaintiff Bautista Martínez realleges and incorporates by reference each and every allegation contained in paragraphs 1-215 as if fully set forth herein.

275. The Defendants engaged in unreasonable, excessive force when effectuating the seizure and arrest of Plaintiff Bautista Martínez in violation of his Fourth Amendment rights.

276. Defendant Doe 2 violated Plaintiff Bautista Martínez's clearly established right to be free from excessive force under the Fourth Amendment.

277. Defendant Doe 2 brutally and without provocation pointed his firearm at, and/or caused his firearm to make physical contact with Plaintiff Bautista Martínez's head while insisting that Plaintiff Bautista Martínez urinate in front of his coworkers.

278. Plaintiff Bautista Martínez did not present a safety threat to Defendant Doe 2, as he remained handcuffed, and Defendant Doe 2 held him by the shoulder as Plaintiff Bautista Martínez urinated. Moreover, Plaintiff Bautista Martínez had attempted to comply with all orders and was not attempting to flee or resist detention.

279. Defendant Doe 2's use of excessive force against Plaintiff Bautista Martínez occurred well after the federal officers had restrained the Plant's Latino workforce.

280. Defendant Doe 2 lacked any particularized suspicion that Plaintiff Bautista Martínez had violated U.S. immigration laws or committed a crime.

281. The right to be free from the use of excessive force is clearly established.

282. As a result of Defendant Doe 2's actions, Plaintiff Bautista Martínez has suffered damages, including but not limited to actual damages, pain and suffering, humiliation, fear, and emotional distress.

PRAYER FOR RELIEF

WHEREFORE, Plaintiffs and the Class Members request that the Court enter a judgment against Defendants and award the following:

- a. A declaratory judgment pursuant to 28 U.S.C. §§ 2201 and 2202 that Defendants' seizure, detention, search, and questioning of Plaintiffs and the Class Members were a clear violation of Plaintiffs' and the Class Members' Fifth and Fourth Amendment rights and 42 U.S.C. §§ 1985, 1986;
- b. An order awarding Plaintiffs and the Class Members nominal damages for the clear violation of their Fifth and Fourth Amendment rights and 42 U.S.C. §§ 1985, 1986;
- c. An order awarding Plaintiffs and all Class Members compensatory damages in an amount to be proven at trial;

- d. An order holding Defendants jointly and severally liable for compensatory damages in an amount to be proven at trial;
- e. An order awarding Plaintiffs and all Class Members punitive damages against each Defendant in an amount to be proven at trial;
- f. A determination that Plaintiffs' First, Second, Third, Fourth, and Fifth Causes of Action may properly be maintained as class actions pursuant to Fed. R. Civ. P. 23(b)(3);
- g. An order finding that Plaintiffs Gonzalez Cruz and Zapote Hernández are proper representatives of the Class Members, and appointing the undersigned as Class Counsel.
- h. An order awarding Plaintiffs costs of suit, and reasonable attorneys' fees and expenses pursuant to any applicable law; and
- i. Such other and further relief as the Court deems equitable, just and proper.

Dated: April 5, 2019

Respectfully Submitted,

s/ Julia Solórzano

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**Pro hac vice application forthcoming*

CERTIFICATE OF SERVICE

I hereby certify that on April 24, 2019 I electronically filed the foregoing with the Clerk of Court using the CM/ECF system. Notice of this filing will be sent by operation of the Court's electronic filing system to all parties indicated on the electronic filing receipt. Parties may access this filing through the Court's electronic filing system. All other Parties will be served by regular U.S. mail at the below addresses. Defendants who have not been served yet will be served with the Second Amended Complaint with the summons. When service is complete a Proof of Service form will be filed with the Court, which Proof of Service will list the date, method, and documents served.

Robert Hammer, Assistant Special Agent in Charge, HSI

[REDACTED]

David Vicente Pena, Agent, ICE, ERO

[REDACTED]

Francisco Ayala, Agent, ICE, ERO

[REDACTED]

Billy Riggins, Special Agent, ICE
ICE HSI Memphis
842 Virginia Run Cove
Memphis, TN 38122

Anthony Martin, Deportation Officer, ICE, ERO

[REDACTED]

Mathew Tyler Grooms, Deportation Officer, ICE

[REDACTED]

Jerrold Scott Partin, Special Agent, ICE

[REDACTED]

Theodore Francisco, Special Agent, HSI

[REDACTED]

Travis Carrier, Special Agent, ICE

[REDACTED]

Trevor Christensen, Special Agent, ICE

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George Nalley, Agent of ICE
Address Currently Unknown

Clint Cantrell, Special Agent, ICE
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Patrick Ryan Hubbard, Special Agent, ICE
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Connie Stephens, Agent, ICE
ICE HSI Memphis
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Tommy Pannell, Agent, ICE
ICE HSI Memphis
842 Virginia Run Cove
Memphis, TN 38122

Shannon Hope, Agent, ICE
Address Currently Unknown

Troy McCarter, Agent, ICE
Address Currently Unknown

Bradley Harris, Agent, ICE
Address Currently Unknown

Joshua McCready, Agent, ICE
Address Currently Unknown

Ronald Appel, Resident Agent in Charge,
ICE
Address Currently Unknown

Bobby Smith, Agent, ICE
Address Currently Unknown

Blake Diamond, Agent, ICE
Address Currently Unknown

Paul Criswell, Agent, ICE
Address Currently Unknown

Jeffery Klinko, Agent, ICE
Address Currently Unknown

Jeffrey Schroder, Agent, ICE
Address Currently Unknown

David Lodge, Deportation Officer, ICE,
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Michelle Evans, Agent, ICE
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Wesley Anthony
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Steven Ledgerwood
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Francis Coker
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Christopher Cannon, ICE, ERO
Address Currently Unknown

Chief John Heishman, Agent of CBP
Address Currently Unknown

Aunrae Navarre, CBP Officer
Address Currently Unknown

Ricky Smith, CBP Officer
Address Currently Unknown

Matthew Moon, Agent of CBP
Address Currently Unknown

Jason Miller, CBP Officer
Address Currently Unknown

Jeff Bednar, CBP Port Director
Address Currently Unknown

Austin Williams, CBP Port Director
Address Currently Unknown

Nicholas R. Worsham, Special Agent, IRS



Dated: April 24, 2019

s/ Julia Solórzano
Counsel for Plaintiffs

EXHIBIT 1

IRS Search Warrant

FILED

APR 06 2018

UNITED STATES DISTRICT COURT

for the Eastern District of Tennessee

Clerk, U. S. District Court Eastern District of Tennessee At Greeneville

In the Matter of the Search of) (Briefly describe the property to be searched or identify the person by name and address)) 1617 HELTON ROAD, BEAN STATION, TN 37708,) and its curtilage and outbuildings, appurtenances, and) attached and detached garages and vehicles and trailers)

Case No. 2:18-mj-66

SEARCH AND SEIZURE WARRANT

To: Any authorized law enforcement officer

An application by a federal law enforcement officer or an attorney for the government requests the search of the following person or property located in the Eastern District of Tennessee (identify the person or describe the property to be searched and give its location):

The business located at 1617 Helton Road, Bean Station, TN 37708, and its curtilage and outbuildings, appurtenances, and attached and detached garages and vehicles and trailers located on such curtilage, more fully identified in Attachment A.

I find that the affidavit(s), or any recorded testimony, establish probable cause to search and seize the person or property described above, and that such search will reveal (identify the person or describe the property to be seized):

See Attachment B

YOU ARE COMMANDED to execute this warrant on or before April 16, 2018 (not to exceed 14 days) in the daytime 6:00 a.m. to 10:00 p.m. at any time in the day or night because good cause has been established.

Unless delayed notice is authorized below, you must give a copy of the warrant and a receipt for the property taken to the person from whom, or from whose premises, the property was taken, or leave the copy and receipt at the place where the property was taken.

The officer executing this warrant, or an officer present during the execution of the warrant, must prepare an inventory as required by law and promptly return this warrant and inventory to (United States Magistrate Judge)

Pursuant to 18 U.S.C. § 3103a(b), I find that immediate notification may have an adverse result listed in 18 U.S.C. § 2705 (except for delay of trial), and authorize the officer executing this warrant to delay notice to the person who, or whose property, will be searched or seized (check the appropriate box)

for days (not to exceed 30) until, the facts justifying, the later specific date of

Date and time issued: April 2, 2018 at 3:30 PM

Clifton L. Corker, U.S. Magistrate Judge (Signature and Printed name and title)

City and state: Greeneville, TN

Return		
Case No.: <u>2:18-mj-66</u>	Date and time warrant executed: <u>4/5/2018 9:05 AM</u>	Copy of warrant and inventory left with: <u>JAMES BRANTLEY</u>
Inventory made in the presence of: <u>SA NICHOLAS WORSHAM</u>		
Inventory of the property taken and name of any person(s) seized: <u>SEE ATTACHED</u>		
<i>NRW</i>		
Certification		
<p>I declare under penalty of perjury that this inventory is correct and was returned along with the original warrant to the designated judge.</p>		
Date: <u>4/6/2018</u>	<u>NICHOLAS R. WORSHAM</u> Executing officer's signature	
	<u>NICHOLAS R. WORSHAM, SPECIAL AGENT</u> Printed name and title	

Attachment A

PROPERTY TO BE SEARCHED
Search Warrant Affidavit

1617 HELTON ROAD, BEAN STATION, TN 37708

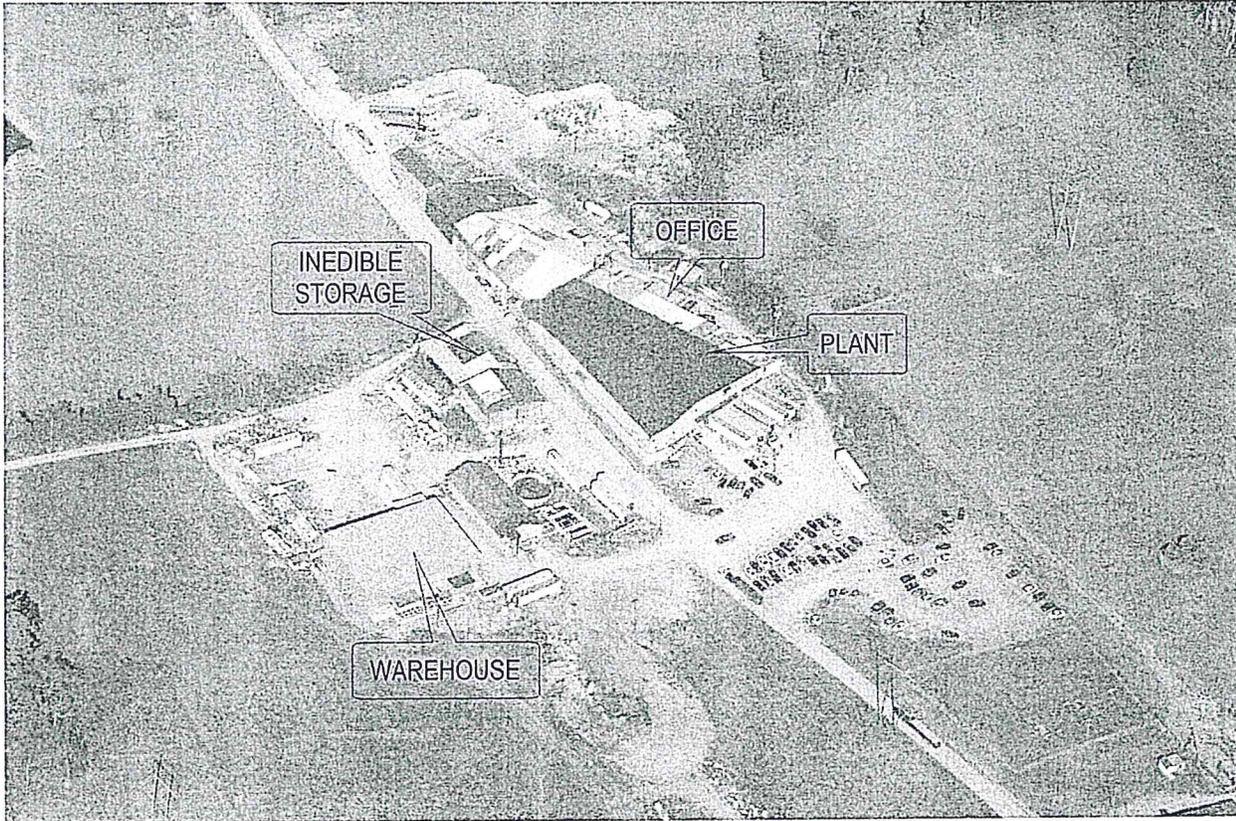
Business of Southeastern Provisions, LLC located at 1617 Helton Road, Bean Station, TN, 37708, and its curtilage and outbuildings, appurtenances, and attached and detached garages and vehicles and trailers located on such curtilage.

Property Map



Property to be searched is contained within the parcels identified with the black border more specifically described as the business location of Southeastern Provisions, LLC which is located at 1617 Helton Road, Bean Station, Tennessee. The property to be searched contains four parcels of land all which are owned by James Brantley. The property contains multiple buildings and structures used in the operation of Southeastern Provisions, LLC which is owned by James Brantley.

Aerial View



Attachment B

ITEMS TO BE SEIZED

The following records, documents and materials in whatever form and by whatever means they may have been created or stored, including any paper, electrical, electronic, or magnetic form which are related to the financial activities of James Brantley, Southeastern Provisions, LLC, and/or entities owned by James Brantley and/or any related entities to Southeastern Provisions, LLC from January 1, 2013 to the present, are to be seized:

(1) The notebook or other written record in which employees are required to sign their names and log the number of hours they worked.

(2) Financial Statements, bookkeeper's and/or accountant's work papers used in the preparation of records or tax returns; copies of all federal and state income tax returns; any and all books, records, invoices, receipts, bank statements and related records which reflect income and expenses.

(3) Bank account information including passbooks or bank statements, records reflecting dates and amounts of deposits, withdrawals, interest, debit and credit memos, deposit slips, records reflecting the identity of checks deposited, withdrawal slips, cancelled checks and records disclosing the disposition of withdrawals, and Forms 1099. Records of any certificates of deposit, money market certificates, safety deposit boxes, wire transfers, U.S. Treasury Notes or Bills purchased, money orders, check ledgers, and checkbooks.

(4) Loans Payable and Loans Receivable records including applications, financial statements, loan collateral, credit and background investigations required, loan agreements, notes or mortgages, settlement sheets, contracts, retained copies of checks issued for loans, repayment records, including records revealing the date, amount and method of repayment (cash or check), checks used to repay loans and a record disclosing the total amount of discount or interest paid annually, records of any liens, loans correspondence files and internal memoranda relative to these loans.

(5) Indicia of occupancy, residency, rental and/or ownership of real estate; records detailing all properties titled, leased, or otherwise held by James Brantley, Southeastern Provisions, LLC, and/or entities owned by James Brantley and/or any related entities to Southeastern Provisions, LLC

(6) Records of real estate transactions including listing agreements, sales contracts, leases or rental contracts; rental applications, financial worksheets and/or loan applications, addendums; deeds (whether recorded as a public record or not); promissory notes or other loan documentation or financing information; rental receipt ledgers; property use agreements, occupancy agreements, or contracts; documents reflecting the receipt of payments and description thereof; and correspondence, notes, telephone messages or other memoranda relating to sales or lease transactions.

(7) Diaries, calendars (whether conventional or electronic), appointment books, journals, address/telephone books (including and address/telephone rolodex or similar index).

(8) Currency Transaction Reports, Forms 8300 or information related to the legal requirement to file such reports, regardless of their date of creation.

(9) Records and/or documents which are related to the receipt of income, the disposition of the same, or banking activities, to include publications, notes, correspondence and/or memoranda, the content of which, in whole or in part, involves financial information or transactions.

(10) Currency or cash.

(11) Contents of any vault, safe, or lockbox of any kind, located at the location to be searched, provided that the contents are within the specifications as set forth in this list of items to be seized.

(12) Employee files including applications for employment and identification documents provided by employees to gain employment.

(13) Any electronic devices that are capable of analyzing, creating, displaying, converting or transmitting electronic or magnetic computer impulses or data. These devices include computers, computer components, computer peripherals, word processing equipment, modem, monitors, printers, plotters, encryption circuit boards, optical scanners, external hard drives, and other computer related electronic devices.

(14) Any instructions or programs stored in the form of electronic or magnetic media that are capable of being interpreted by a computer or related components. The items to be seized include operating systems, application software, utility programs, compilers, interpreters and other programs of software used to communicate with computer hardware or peripherals either directly or indirectly via telephone lines, radio or other means of transmission.

(15) Any written or printed material that provides instructions or examples concerning the operation of a computer system, computer software and/or any related device.

(16) Any information and/or data stored in the form of magnetic or electronic media capable of being read by a computer or with the aid of computer related equipment. This media includes floppy disks, diskettes, fixed hard disks, removable hard disk cartridges, tapes, USB jump/"thumb" drives and other devices, laser disks, video cassettes, and any other media which is capable of storing magnetic coding.

Inventory Listing of All Items Seized at Search Warrant Site

Site Name: Southeastern Provisions	Investigation Number: 1000285279	Report Date: Friday, April 06, 2018
1617 Helton Rd. Bean Station, TN	Starting Date and Time: 04/05/2018 09:05 AM	Ending Date and Time: 04/05/2018 04:20 PM

Control #:	1	Evidence Box:	1
Location:	First Office	Locator Code:	File Cabinet
Found:	File Cabinet		
Description:	Seized per Warrant Invoices Recievables & Payables for year 2018		
<hr/>			
Control #:	2	Evidence Box:	2
Location:	First Office	Locator Code:	small table
Found:	near desk		
Description:	Seized per Warrant 2018 invoices		
<hr/>			
Control #:	3	Evidence Box:	3
Location:	First Office	Locator Code:	small table
Found:	near desk		
Description:	Seized per Warrant invoices 2018		
<hr/>			
Control #:	4	Evidence Box:	4
Location:	First Office	Locator Code:	shelf
Found:	above desk		
Description:	Seized per Warrant invoices		
<hr/>			
Control #:	5	Evidence Box:	5
Location:	First Office	Locator Code:	file cabinet
Found:	file cabinet		
Description:	Seized per Warrant 2018 Account Rec Invoices		
<hr/>			
Control #:	6	Evidence Box:	6
Location:	First Office	Locator Code:	back wall
Found:	back wall		
Description:	Seized per Warrant invoices (expenses)		
<hr/>			
Control #:	7	Evidence Box:	7
Location:	First Office	Locator Code:	file cabinet
Found:	file cabinet		
Description:	Seized per Warrant 2018 account receivable invoices		

Control #:	8	Evidence Box:	8
Location:	First Office	Locator Code:	small desk
Found:	in box		
Description:	Seized per Warrant 2017 supplies + some customer invoices		
Control #:	9	Evidence Box:	9
Location:	First Office	Locator Code:	by small desk
Found:	by small desk		
Description:	Seized per Warrant box of income invoices for customers 2017 + 2018		
Control #:	10	Evidence Box:	10
Location:	First Office	Locator Code:	shelf
Found:	next to desk		
Description:	Seized per Warrant 2017 invoices, incoming product inventories		
Control #:	11	Evidence Box:	11
Location:	First Office	Locator Code:	desk
Found:	desk		
Description:	Seized per Warrant 2018 acct pay, acct rec, employee meat sales, driver info		
Control #:	12	Evidence Box:	12
Location:	First Office	Locator Code:	desk
Found:	desk		
Description:	Seized per Warrant 2018/current invoices, expense invoices, trailer incident reports, storage reports, time sheets, message logs		
Control #:	13	Evidence Box:	13
Location:	Large Office	Locator Code:	in
Found:	desk		
Description:	Seized per Warrant sales by customer detail- Ida Beef		
Control #:	14	Evidence Box:	13
Location:	Large Office	Locator Code:	CntDeskFileCa b
Found:	cabinet on side of desk		
Description:	Seized per Warrant various sheets with employee names + amounts, sales orders/invoices		
Control #:	15	Evidence Box:	13
Location:	Large Office	Locator Code:	back desk
Found:	back desk		
Description:	Seized per Warrant timecard reports		

Control #:	16	Evidence Box:	13
Location:	Office 4	Locator Code:	file cabinet
Found:	small black 2 drawer file cabinet		
Description:	Seized per Warrant Invoices (mct transportation) bills of lading-cattle fresh beef		
Control #:	17	Evidence Box:	13
Location:	Women's Bath	Locator Code:	ladies restroom
Found:	inside purse inside locker 23		
Description:	Seized per Warrant pay stub Erandi Campoz dated 3/14/18		
Control #:	18	Evidence Box:	13
Location:	First Office	Locator Code:	top of
Found:	file cabinet		
Description:	Seized per Warrant employee names, phone numbers		
Control #:	19	Evidence Box:	13
Location:	Office 4	Locator Code:	small desk
Found:	drawer		
Description:	Seized per Warrant employee emergency contact list		
Control #:	20	Evidence Box:	13
Location:	First Office	Locator Code:	desk by door
Found:	on top of desk and in drawer		
Description:	Seized per Warrant expense records, waste dumpings, farm credit, keith james payment records, kenny pipe supply 2018		
Control #:	21	Evidence Box:	13
Location:	Office 3	Locator Code:	desk
Found:	desk		
Description:	Seized per Warrant emergency contact list of employees, job applications		
Control #:	22	Evidence Box:	13
Location:	Office 3	Locator Code:	filing cabinet
Found:	filing cabinet		
Description:	Seized per Warrant deposit tickets		
Control #:	23	Evidence Box:	13
Location:	Office 2	Locator Code:	blue
Found:	3 drawer filing cabinet top drawer		
Description:	Seized per Warrant check slips 12/17-2/18		
Control #:	24	Evidence Box:	13
Location:	Office 2	Locator Code:	desk
Found:	drawer		
Description:	Seized per Warrant invoices paid names and amounts citizens bank, check stubs 3/18-4/18. #0642053883640		

Control #:	25	Evidence Box:	14
Location:	Office 1	Locator Code:	box
Found:	behind door		
Description:	Seized per Warrant payroll		
Control #:	26	Evidence Box:	15
Location:	Office 1	Locator Code:	file cabinet
Found:	next to wall		
Description:	Seized per Warrant tax records, payroll, w2s, w9s, etc		
Control #:	27	Evidence Box:	16
Location:	Office 1	Locator Code:	on top of
Found:	file cabinet		
Description:	Seized per Warrant binder-annual reports excise returns		
Control #:	28	Evidence Box:	16
Location:	Office 1	Locator Code:	on top of
Found:	file cabinets		
Description:	Seized per Warrant binder of bank records (2) binder 2018 bank statements		
Control #:	29	Evidence Box:	17
Location:	Office 1	Locator Code:	second
Found:	file cabinet		
Description:	Seized per Warrant employee records, payroll records, accounting software and books		
Control #:	30	Evidence Box:	18
Location:	Second Office	Locator Code:	floor
Found:	next to desk		
Description:	Seized per Warrant jan 2018-feb 2018 cattle invoices and payments		
Control #:	31	Evidence Box:	19
Location:	Second Office	Locator Code:	in
Found:	file box on window ledge		
Description:	Seized per Warrant folders that appear to contain supplier documents, payments, and check copies		
Control #:	32	Evidence Box:	19
Location:	Office 1	Locator Code:	on top of
Found:	mini fridge		
Description:	Seized per Warrant payroll forms-signed payroll records spreadsheets with employee signatures		

Control #:	33	Evidence Box:	19
Location:	Office 1	Locator Code:	on
Found:	small table		
Description:	Seized per Warrant payroll records, w9		
Control #:	34	Evidence Box:	19
Location:	Office 1	Locator Code:	bulletin board
Found:	behind file cabinets		
Description:	Seized per Warrant supplier record IRS where to file TN Dept of Labor Receipt of filing quarterly wage report		
Control #:	35	Evidence Box:	19
Location:	Office 1	Locator Code:	on top of
Found:	mini fridge		
Description:	Seized per Warrant tax documents-forms 1099, forms w-3, forms w-2, spreadsheet of withdrawals		
Control #:	36	Evidence Box:	19
Location:	Office 1	Locator Code:	top of
Found:	horizontal file cabinet		
Description:	Seized per Warrant folder containing receipts labeled "cash", signed payroll records, signed payroll spreadsheets		
Control #:	37	Evidence Box:	19
Location:	Office 1	Locator Code:	shelves
Found:	on outside wall		
Description:	Seized per Warrant payroll records-signed, bill of lading, payroll spreadsheets-signed, quickbooks training certificate, community college accounting cert of completion, form I-9		
Control #:	38	Evidence Box:	20
Location:	Office 1	Locator Code:	small
Found:	3 drawer file cabinet		
Description:	Seized per Warrant 2017 forms w4, employee files, 1099s, payroll sheets		
Control #:	39	Evidence Box:	21
Location:	Office 1	Locator Code:	blue
Found:	3 drawer cabinet		
Description:	Seized per Warrant invoices-2018 & copies of checks		
Control #:	40	Evidence Box:	22
Location:	Second Office	Locator Code:	top
Found:	of desk		
Description:	Seized per Warrant invoices, purchase orders, wire receipts, form w9, deposit receipts, spend sheets, bank statements and other financial documents		

Control #:	41	Evidence Box:	22
Location:	Second Office	Locator Code:	4 drawr cab
Found:	3rd drawer from top		
Description:	Seized per Warrant	check receipts	
Control #:	42	Evidence Box:	22
Location:	Second Office	Locator Code:	4 drawr cab
Found:	3rd drawer from top		
Description:	Seized per Warrant	check receipts	
Control #:	43	Evidence Box:	22
Location:	Second Office	Locator Code:	keyboard
Found:	drawer of desk		
Description:	Seized per Warrant	inventory logs	
Control #:	44	Evidence Box:	23
Location:	Office 1	Locator Code:	top of
Found:	desk drawer		
Description:	Seized per Warrant	employee file, employee meat sales, hand written notes, identity docs, employee pay envelopes, time sheets	
Control #:	45	Evidence Box:	23
Location:	Office 1	Locator Code:	left
Found:	desk drawers		
Description:	Seized per Warrant	weekly timesheets, hand written notes of identities and dollar amounts, 2017 planner	
Control #:	46	Evidence Box:	23
Location:	Office 1	Locator Code:	top drawer
Found:	small 3 drawer filing cabinet		
Description:	Seized per Warrant	IRS payroll due notices, EFTPS enrollment	
Control #:	47	Evidence Box:	23
Location:	Office 1	Locator Code:	top of
Found:	printer		
Description:	Seized per Warrant	payroll records employee files	
Control #:	48	Evidence Box:	23
Location:	Office 1	Locator Code:	right
Found:	desk drawers		
Description:	Seized per Warrant	bank currency wrappers dated 2017, balance sheet, hand written notes, direct deposit change form USDA bond form, IRS address change, 941 deposit receipts, hand written notes, INTUIT pay stubs	

Control #:	49	Evidence Box:	24
Location:	Second Office	Locator Code:	4 drawer cab
Found:	2nd drawer from top		
Description:	Seized per Warrant farm credit loan documents		
Control #:	50	Evidence Box:	24
Location:	Second Office	Locator Code:	shelf
Found:	on south wall		
Description:	Seized per Warrant citizens bank records		
Control #:	51	Evidence Box:	24
Location:	Second Office	Locator Code:	shelf
Found:	south wall		
Description:	Seized per Warrant citizens bank records		
Control #:	52	Evidence Box:	24
Location:	Second Office	Locator Code:	shelf
Found:	south wall		
Description:	Seized per Warrant citizens bank wire order fax sheets of transaction		
Control #:	53	Evidence Box:	24
Location:	Second Office	Locator Code:	clipped
Found:	side of 4 drawer cabinet		
Description:	Seized per Warrant shipping receipts		
Control #:	54	Evidence Box:	24
Location:	Second Office	Locator Code:	shelves
Found:	south wall		
Description:	Seized per Warrant invoices of business purchase, receipts		
Control #:	55	Evidence Box:	24
Location:	Second Office	Locator Code:	shelves
Found:	south wall		
Description:	Seized per Warrant daily cow log		
Control #:	56	Evidence Box:	24
Location:	Second Office	Locator Code:	on top
Found:	small shelf north wall		
Description:	Seized per Warrant misc financial docs, cattle purchase receipt, insurance check stub		
Control #:	57	Evidence Box:	24
Location:	Second Office	Locator Code:	shelf
Found:	south wall		
Description:	Seized per Warrant daily cow total sheets and spreadsheets		

Control #:	58	Evidence Box:	24
Location:	Second Office	Locator Code:	small shelf
Found:	small shelf south wall		
Description:	Seized per Warrant letter labeled "payroll budgeting"		
Control #:	59	Evidence Box:	25
Location:	Second Office	Locator Code:	desk top
Found:	north side wall		
Description:	Seized per Warrant misc. financial documents, invoices, A/R, bank records, property tax records cash receipt book		
Control #:	60	Evidence Box:	26
Location:	Second Office	Locator Code:	under desk
Found:	right side		
Description:	Seized per Warrant workers comp documents/statements, invoices		
Control #:	61	Evidence Box:	26
Location:	Second Office	Locator Code:	under desk
Found:	left side when sitting		
Description:	Seized per Warrant receipts, copies of checks and other misc documents found in green bag in purse		
Control #:	62	Evidence Box:	26
Location:	Storage Room 3	Locator Code:	back room
Found:	box		
Description:	Seized per Warrant receivables 2017		
Control #:	63	Evidence Box:	27
Location:	Storage Room 4	Locator Code:	ROOM
Found:	BOX		
Description:	Seized per Warrant 2013 DEPRECIATION LIST FOLDER FUEL TAX INFO		
Control #:	64	Evidence Box:	28
Location:	Storage Room 4	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2013 PAYABLES		
Control #:	65	Evidence Box:	29
Location:	Storage Room 3	Locator Code:	BACK ROOM
Found:	BOX		
Description:	Seized per Warrant PAID INVOICES		

Control #:	66	Evidence Box:	30
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant EMPLOYEE RECORDS 2017		
Control #:	67	Evidence Box:	31
Location:	Storage Room 3	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2017 INVOICES		
Control #:	68	Evidence Box:	32
Location:	Storage Room 3	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2016 INVOICES		
Control #:	69	Evidence Box:	33
Location:	Storage Room 4	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2013 ACCOUNTS RECEIVABLE		
Control #:	70	Evidence Box:	34
Location:	Storage Room 3	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2016 INVOICES		
Control #:	71	Evidence Box:	35
Location:	Storage Room 3	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2015 EMPLOYEE SHEETS		
Control #:	72	Evidence Box:	36
Location:	Storage Room 4	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant ACCOUNT RECEIVABLE 2014		
Control #:	73	Evidence Box:	37
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant PAID INVOICES		
Control #:	74	Evidence Box:	38
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant SUPPLY CATTLE PURCHASE RECORDS		

Control #:	75	Evidence Box:	39
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant PAID INVOICES		
Control #:	76	Evidence Box:	40
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant PAID INVOICES		
Control #:	77	Evidence Box:	41
Location:	Storage Room 3	Locator Code:	BACK ROOM
Found:	BOX		
Description:	Seized per Warrant PAID INVOICES		
Control #:	78	Evidence Box:	42
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant PAID INVOICES		
Control #:	79	Evidence Box:	42
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant 2016 INVOICES		
Control #:	80	Evidence Box:	43
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant ALL CATTLE INVOICES 2016		
Control #:	81	Evidence Box:	44
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant ACCOUNTS RECEIVABLE		
Control #:	82	Evidence Box:	45
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant PAID INVOICES		
Control #:	83	Evidence Box:	46
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant 2015 PAID INVOICES		

Control #:	84	Evidence Box:	47
Location:	Storage Room 4	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant	2014 ACCOUNT PAYABLE BANK STATEMENTS	
Control #:	85	Evidence Box:	48
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant	2017 INVOICES	
Control #:	86	Evidence Box:	49
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant	2015 PAID INVOICES	
Control #:	87	Evidence Box:	50
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant	FARM COW RECORDS	
Control #:	88	Evidence Box:	51
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant	2017 SUPPLIER PAID INVOICES	
Control #:	89	Evidence Box:	52
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant	2015 ACCOUNTS PAYABLE	
Control #:	90	Evidence Box:	53
Location:	Storage Room 4	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant	2014 PAYABLE FILES	
Control #:	91	Evidence Box:	54
Location:	Storage Room 4	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant	2014 EMPLOYEE RECORDS	
Control #:	92	Evidence Box:	55
Location:	Storage Room 3	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant	2013 PAYROLL RECORDS	

Control #:	93	Evidence Box:	56
Location:	Storage Room 3	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2016 ACCOUNTS RECEIVABLE		
Control #:	94	Evidence Box:	57
Location:	Storage Room 3	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2017 PAID INVOICES		
Control #:	95	Evidence Box:	58
Location:	Storage Room 4	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2014 ACCOUNT PAYABLES		
Control #:	96	Evidence Box:	59
Location:	Storage Room 3	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2015 COW PURCHASES		
Control #:	97	Evidence Box:	60
Location:	Storage Room 4	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant ACCOUNTS PAYABLE 2014		
Control #:	98	Evidence Box:	61
Location:	Storage Room 4	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant PAYABLE FOR NASH WILLIAMS MAJORS 2014		
Control #:	99	Evidence Box:	62
Location:	Storage Room 4	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2013 COW PURCHASES		
Control #:	100	Evidence Box:	63
Location:	Storage Room 4	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2013 ACCOUNTS PAYABLE		
Control #:	101	Evidence Box:	64
Location:	Storage Room 4	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2013 RECEIVABLES		

Control #:	102	Evidence Box:	65
Location:	Storage Room 3	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2015 COW PURCHASES		
Control #:	103	Evidence Box:	66
Location:	Storage Room 3	Locator Code:	BOX
Found:	FLOOR		
Description:	Seized per Warrant 2017 PAID INVOICES		
Control #:	104	Evidence Box:	67
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant 2016 EMPLOYEE RECORDS		
Control #:	105	Evidence Box:	68
Location:	Storage Room 3	Locator Code:	back room
Found:	BOX		
Description:	Seized per Warrant PAID INVOICES		
Control #:	106	Evidence Box:	69
Location:	Storage Room 3	Locator Code:	BACK ROOM
Found:	BOX		
Description:	Seized per Warrant SUPPLIERS PAID INVOICES		
Control #:	107	Evidence Box:	99
Location:	Office 3	Locator Code:	file cabinet
Found:	TOP		
Description:	Seized per Warrant IMAGE OF HP COMPANY DESKTOP HP COMPAQ WD CAVIAR S/N WMADK4280865		
Control #:	108	Evidence Box:	99
Location:	First Office	Locator Code:	DESK
Found:	NEXT TO		
Description:	Seized per Warrant IMAGE OF HP PAVILION DESKTOP HP PAVILION 500-223W S/N MXX4080TQW		
Control #:	109	Evidence Box:	99
Location:	Office 1	Locator Code:	DESK
Found:	TOP		
Description:	Seized per Warrant HP PAVILION 500PC S/N 4CE3190NPY PRISCILLAS OFFICE, PAYROLL COMPUTER		

Control #:	110	Evidence Box:	99
Location:	Office 2	Locator Code:	DESK
Found:	TOP		
Description:	Seized per Warrant IMAGE OF HP PAVILION DESKTOP HP PAVILION 500 S/N MXX4090SVD		
Control #:	111	Evidence Box:	99
Location:	Large Office	Locator Code:	DESK
Found:	UNDER TV		
Description:	Seized per Warrant IMAGE OF HARD DRIVE FROM DELL INSPIRON DELL INSPIRON 3252 S/N D8RQKHZ		
Control #:	112	Evidence Box:	99
Location:	First Office	Locator Code:	DESK
Found:	DRAWER		
Description:	Seized per Warrant IMAGE OF HARD DRIVE FROM ACER ASPIRE LAPTOP ACER ASPIRE 5920 S/N LXAKV037974611A722500		
Control #:	113	Evidence Box:	99
Location:	Panel Box/Server Roo	Locator Code:	DVR
Found:	TOP		
Description:	Seized per Warrant AV INC LTD-16TCB		
Control #:	114	Evidence Box:	99
Location:	Panel Box/Server Roo	Locator Code:	DVR
Found:	TOP		
Description:	Seized per Warrant LOREX NR932-N		
Control #:	115	Evidence Box:	99
Location:	Panel Box/Server Roo	Locator Code:	SERVER
Found:	TOP		
Description:	Seized per Warrant DELL POWEREDGE T130 S/N 6SXMVK2		
Control #:	116	Evidence Box:	99
Location:	Large Office	Locator Code:	DESK DRAWER
Found:	CENTER MIDDLE		
Description:	Seized per Warrant WD ELEMENTS 4117B 278 EXT HD S/N WXB1A47P2ACL		

Control #:	117	Evidence Box:	99
Location:	Large Office	Locator Code:	CENTER DESK
Found:	MIDDLE DRAWER		
Description:	Seized per Warrant	WD MY PASSPORT ULTRA 28T 1514B EXT HD S/N WX31A93W1194	
Control #:	118	Evidence Box:	99
Location:	First Office	Locator Code:	DESK
Found:	DRAWER		
Description:	Seized per Warrant	APPLE IPAD A1475 S/N DMPQRIUJ4YD	
Control #:	119	Evidence Box:	99
Location:	Office 4	Locator Code:	filing cabinet
Found:	BEHIND DESK		
Description:	Seized per Warrant	DELL INSPIRON N4110 S/N GKOCRQ1	
Control #:	120	Evidence Box:	99
Location:	Large Office	Locator Code:	DESK
Found:	TOP		
Description:	Seized per Warrant	HP PROBOOK 453005 S/N CNU13925J0	
Control #:	121	Evidence Box:	69
Location:	Cafeteria	Locator Code:	PRICILLA KECK
Found:	ON HER PERSON		
Description:	Seized per Warrant	EMPTY CASH ENVELOPE/CASH REMOVED	
Control #:	122	Evidence Box:	99
Location:	Office 4	Locator Code:	DESK
Found:	TOP		
Description:	Seized per Warrant	LENOVO THINKPAD T440 S/N PF-02JRC814/06	
Control #:	123	Evidence Box:	99
Location:	Office 4	Locator Code:	DESK
Found:	TOP		
Description:	Seized per Warrant	LENOVO THINKPAD T440 S/N PF-00LK9D14/02	

Control #:	124	Evidence Box:	99
Location:	Second Office	Locator Code:	DESK
Found:	TOP		
Description:	Seized per Warrant	TOSHIBA SATELLITE	
		S/N XF129219C	
<hr/>			
Control #:	125	Evidence Box:	99
Location:	Large Office	Locator Code:	DESK
Found:	TOP		
Description:	Seized per Warrant	SEAGATE BACKUP PLUS HUB 8TB EXT HD	
		S/N NA8T9N50	
<hr/>			
Control #:	126	Evidence Box:	99
Location:	Large Office	Locator Code:	DESK
Found:	CENTER		
Description:	Seized per Warrant	WD 3TB WD30PURZ PURPLE	
		S/N WCC4N7NZ9JHZ	
<hr/>			
Control #:	127	Evidence Box:	99
Location:	Large Office	Locator Code:	DESK
Found:	TOP		
Description:	Seized per Warrant	LOREX NR932-N 32CH 4K 2HD 6TB	
		S/N ND031708015455	
<hr/>			

EXHIBIT 2

**Affidavit in Support of
a Search Warrant**

FILED

APR 02 2018

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF TENNESSEE
GREENEVILLE DIVISION

Clerk, U. S. District Court
Eastern District of Tennessee
At Greenville

IN RE THE SEARCH OF:)
)
1617 HELTON ROAD, BEAN)
STATION, TN 37708)
_____)

MISC. NO. 2:18-MJ-66
Filed Under Seal

AFFIDAVIT IN SUPPORT OF A SEARCH WARRANT

I, Nicholas R. Worsham, being first duly sworn, hereby depose and state as follows:

Introduction

(1) I make this Affidavit in support of an application for the issuance of warrants to search the following premises and seize the items listed in Attachment B:

(a) The business located at 1617 Helton Road, Bean Station, Tennessee 37708, and its curtilage and outbuildings, appurtenances, and attached and detached garages and vehicles and trailers located on such curtilage; more particularly described in Attachment A, attached hereto and incorporated herein.

(2) This Affidavit sets forth facts establishing probable cause to believe that James Brantley and others have willfully attempted to evade or defeat the assessment and payment of federal employment taxes in violation of Title 26, U.S.C. 7201; willfully failed to collect and pay over federal employment taxes in violation of Title 26, U.S.C. 7202; filed false federal tax returns in violation of Title 26, U.S.C. 7206(1); and are unlawfully employing illegal aliens in violation of Title 8, U.S.C. 1324(a) and within the locations which are further described in Attachment A, currently exists those items, set forth in Attachment B, which constitutes evidence, instrumentalities, contraband and/or fruits of the violations.

Affiant Background

(3) I have been a Special Agent with the Internal Revenue Service, Criminal Investigation Division (IRS-CID) since 2006. I am currently assigned to the Johnson City, Tennessee post of duty. My responsibilities as a Special Agent include the investigation of potential violations of the Internal Revenue Laws under Title 26 of the United States Code, the Money Laundering Control Act under Title 18 of the United States Code and Currency Violations under Title 31 of the United States Code. I hold a Bachelor of Business Administration Degree in Accounting from East Tennessee State University. I have been trained at the Federal Law Enforcement Training Center in the laws of search and seizure and in the use of search warrants in criminal tax and tax-related investigations. I have conducted or assisted in numerous search warrants involving the seizure of financial records used in the commission of such crimes as evading taxes, filing fraudulent tax returns, money laundering, and structuring. Through my training and experience, I have become familiar with the types of records individuals and businesses typically maintain in the course of their regular activity.

PROBABLE CAUSE

(4) The information contained in this affidavit is based on my personal knowledge, information that I received from other law enforcement agents assisting in this investigation, and information that I have learned from the other sources specifically discussed herein. The facts set forth herein are based on my review of reports, documents and other evidence obtained since the beginning of the investigation, as well as information related to me by the law enforcement agents noted above. This affidavit is not intended to include each-and-every fact related to this investigation, but only those facts necessary to support probable cause.

(5) Based on the facts set forth herein, there is probable cause to believe that James Brantley has willfully attempted to evade or defeat the assessment and payment of federal employment taxes in violation of Title 26, U.S.C. 7201; willfully failed to collect and pay over federal employment taxes in violation of Title 26, U.S.C. 7202; filed false federal tax returns in violation of Title 26, U.S.C. 7206(1); and is unlawfully employing illegal aliens in violation of Title 8, U.S.C. 1324(a) and within the locations which are further described in Attachment A, currently exists those items, set forth in Attachment B, which constitutes evidence, instrumentalities, contraband and/or fruits of the violations.

Affiant's Knowledge, Training, and Experience

(6) Based upon my knowledge, training, experience and participation in other financial investigations, I know:

(a) Employers who pay employees with cash often do so to avoid paying various types of taxes including federal employment taxes.

(b) Employers who employ illegal aliens often pay the illegal aliens in cash because the illegal employees do not possess the appropriate documentation to complete documents required for employment and withholding of taxes.

(c) Employers will report a minimal amount of wages and pay a minimal amount of employment taxes to meet the filing requirements and avoid detection. The amount of wages reported is often approximately equivalent to the state minimum wage amount even though the actual wages paid are significantly higher.

(d) Employers normally maintain records of their financial activity, such as receipts for expenditures by cash and check, bank records, and other financial documents in their personal residences. These records are often kept for a period of several years or longer.

(e) Employers who operate businesses and engage in tax evasion and/or employ illegal aliens maintain records of their transactions within their residence, place of business, rented storage units, vehicles, or other places under their control. These records may be in the form of written notes and correspondence, receipts, negotiated instruments, contracts, bank statements, and other records. Records of this kind are also often stored on computers and associated electronic media.

(f) Employers who operate businesses and engage in tax evasion and/or employ illegal aliens often maintain such records for long periods of time, particularly when they are involved in ongoing criminal conduct over a long period of time. Based on my experience where there is an ongoing criminal business or where the evidence is of a nature that would be kept long after the criminal activity has ceased, the passage of long periods of time will not make the evidence supporting the issuance of a warrant stale.

(g) There are many reasons why criminal offenders maintain evidence for long periods of time. The evidence may be innocuous at first glance (e.g. financial, credit card and banking documents, travel documents, receipts, documents reflecting purchases of assets, personal calendars, telephone and address directories, check books, videotapes and photographs, utility records, ownership records, letter and notes, tax returns and financial records, escrow files, telephone and pager bills, keys to safe deposit boxes, packaging materials, computer hardware and software), but have significance and relevance when considered in light of other evidence. The criminal offender may no longer realize he/she still possesses the evidence or may believe law enforcement could not obtain a search warrant to seize the evidence. The criminal offender may also be under the mistaken belief he/she has deleted, hidden or further destroyed any computer related evidence, but which may be retrievable by a trained forensic computer expert.

(h) The net worth/source and application of funds analyses show a suspect's known expenditures and/or accumulation of assets substantially exceed his reported and/or legitimate sources of income to prove that the suspect is engaged in tax evasion and/or illegal money generating activities such as fraud. The net worth analysis compares a suspect's net worth (cost value of total assets minus total liabilities) at a time just before the suspect has commenced his purported criminal activity, to his/her arrest. The source and application of funds analysis focuses on the suspect's expenditures during the time period of the purported illegal activities and compares such expenditures with his reported/legitimate sources of income. Each analysis requires evaluation of bank records, credit records, loan records, documents evidencing ownership of assets, and other documents evidencing the financial profile of the suspect during the course of the purported illegal activity, as well as a short time period prior to the illegal activity (e.g. one year). In addition to assisting in the net worth/source and application funds analyses, a financial profile of a suspect prior to the purported criminal activity evidences changes in lifestyle, asset accumulation, and expenditures between the time period prior to the illegal activity and the time period of the illegal activity that are consistent with a person generating income from illegal activities (e.g. fraud and/or earning income that was not reported), as compared to a person earning income from legitimate sources. Evidence of a defendant's expenditures, asset accumulation, financial lifestyle, net worth/source and application of funds analyses, and underlying financial documents necessary for such analyses, and underlying financial documents necessary for such analyses are admissible evidence under federal case law in tax evasion, fraud and money laundering cases; thus, the need for such documents to be taken during the execution of the warrant.

Summary of Investigative Findings

(7) The investigation has revealed the following individuals/entities are involved in the cash transactions:

(a) **Southeastern Provisions, LLC (“Southeastern Provisions”)**, a cattle slaughter facility location in Bean Station, Tennessee. The United States Department of Agriculture – Food Service and Inspection Service (“USDA-FSIS”) is responsible for inspecting Southeastern Provisions. USDA-FSIS maintains a profile for all facilities they inspect. The profile for Southeastern Provisions lists the physical location of Southeastern Provisions at 1617 Helton Road, Bean Station, TN 37708, the captioned premises to be searched.

(b) **James Brantley** and **Pamela Brantley**, husband and wife. The USDA-FSIS profile lists James Brantley as the President/General Manager of Southeastern Provisions. Pamela Brantley is an employee of Southeastern Provisions.

(c) **Kelsey Brantley** is the daughter of James and Pamela Brantley and an employee of Southeastern Provisions.

(d) **Priscilla Keck** is an employee of Southeastern Provisions.

(8) IRS-CID, Homeland Security Investigations (HSI), and Tennessee Highway Patrol, Criminal Investigation Division (THP-CID) are conducting an investigation of Southeastern Provisions and its owners. The investigation began after federal authorities became aware of financial transactions involving Southeastern Provisions bank accounts at Citizens Bank. Bank personnel began noticing large amounts of cash being withdrawn from the Southeastern Provisions bank accounts. The cash withdrawals occurred on a weekly basis.

(9) During the investigation, I obtained numerous financial records regarding several bank accounts pertaining to Southeastern Provisions. I have reviewed those financial records

and learned that from 2008 to the present date, in excess of \$25 million dollars in cash has been withdrawn from the bank accounts.

(10) Those records also reveal that Pamela Brantley, Kelsey Brantley, and Priscilla Keck conducted the cash withdrawals with a majority of them being conducted by Pamela and Kelsey Brantley. I know that bank personnel questioned the individuals conducting the transactions about the nature and purpose of the large cash withdrawals and were told that the cash was used for payroll. I also learned that in December 2016, bank personnel conducted a site visit at Southeastern Provisions. Bank personnel were given a tour of the facility by Pamela Brantley. Bank personnel were told during the tour the employees were Hispanic and were paid weekly with cash. Bank personnel also observed a vault, which Southeastern Provisions was preparing to install.

Confidential Informant

(11) In May 2017, a confidential informant (hereafter referred to as CI-1), who was working for law enforcement, was able to gain employment at Southeastern Provisions located at the captioned premises (1617 Helton Road). CI-1 worked there for four days. CI-1 reported the following:

(a) CI-1 was never asked to complete any paperwork or provide any identification or documentation prior to being hired.

(b) While attempting to gain employment, CI-1 was shown around Southeastern Provisions (1617 Helton Road) by two white males who were later identified as Jason and Carl Kinser. While there, CI-1 saw an employee that CI-1 knows from living in Morristown. CI-1 used a fake name when speaking to the employee on the assumption that his employment with Southeastern Provisions was contingent upon having a lawful identity. The

employee told CI-1 not to worry and that CI-1 could use his/her real name, confirming that CI-1 need not have a lawful identity in order to work at Southeastern Provisions.

(c) CI-1 was hired that day by the representatives of Southeastern Provisions and began working in the spring of 2017 at 1617 Helton Road.

(d) At the time CI-1 was hired, representatives of Southeastern Provisions told CI-1 that he/she would be paid \$10 per hour and would be paid weekly in cash. On the same day, CI-1 spoke with other employees who stated they were also paid \$10 per hour and were paid weekly in cash. CI-1 stated that all employees had to sign their names and log the hours worked each day at the end of the shift in a book that was kept in the main office/lobby.

(e) CI-1 also explained that CI-1 was told CI-1 would be a “supervisor” because CI-1 was capable of speaking both Spanish and English. CI-1 inferred from this that many of the other employees spoke only Spanish.

(f) At the end of the week, CI-1 went to 1617 Helton Road to pick up CI-1’s pay. CI-1 walked into the main office where there were a few other employees in and around the office area. CI-1 spoke with a white female identified as Pamela Brantley. CI-1 gave Pamela Brantley CI-1’s assumed name. Pamela Brantley told CI-1 to wait and she left the office. A few minutes later, Pamela Brantley returned and asked CI-1 to sign the time sheet CI-1 had completed and to sign CI-1’s name in a notebook acknowledging receipt of payment. Pamela Brantley handed CI-1 a white envelope with CI-1’s name on the outside. The envelope contained cash for payment for duties performed by CI-1 while employed at Southeastern Provisions.

(g) During the four days that CI-1 worked at Southeastern Provisions at 1617 Helton Road, CI-1 observed approximately 25 employees working on the production line, 4

employees on the cleaning crew, 3 employees working as mechanics, 3 employees driving fork lifts, and two females working in the main lobby. CI-1 stated that all of these employees (other than the two females working in the main lobby) were Hispanics. This is relevant for the reasons stated in paragraph (10) – representatives of Southeastern Provisions told Citizens Bank personnel that the large cash withdrawals were made for the purpose of paying cash wages to *Hispanics*. On this occasion, CI-1 observed approximately 35 Hispanic employees at 1617 Helton Road. During the same week, representatives of Southeastern Provisions withdrew \$101,000.00 from Citizens Bank. If we assume that each employee worked eight hours per day, then 1,400 person-hours were worked that week. 1,400 divided into \$101,000.00 equals \$72.14 per hour, which, based on my training and experience, I know is substantially more than the hourly wage paid to production line workers, cleaning crew personnel, mechanics, and fork lift operators. Moreover, as described above, CI-1 and numerous other employees were paid \$10 per hour. For this reason, I have probable cause to believe that the number of employees working at the facility exceeds the approximately 35 people observed by CI-1 and greatly exceeds the number of wage-earning employees that Southeastern Provisions reported for payroll-tax purposes as described in paragraphs (21) – (24), below. This point is corroborated by CI-1's observation that his/her particular job required him/her to work from 4:30 pm to approximately midnight, at which time the primary business functions of the plant had ceased for the day and most employees had left.

(h) CI-1 stated that he knew that several of these employees used to work at another meat-processing plant (the identity of which is known to law enforcement) in Morristown, TN, but had been fired because their identification paperwork was fraudulent.

(i) CI-1 again observed Carl Kinser and Jason Kinser. The two are believed to be brothers that act in supervisory roles at Southeastern Provisions. CI-1 was hired by one of the brothers. CI-1 later identified the brothers to law enforcement.

(j) CI-1 also learned that the production workers are required to work overtime, but do not receive additional compensation for the overtime hours. CI-1 believes that Southeastern Provisions exploited these employees because they were illegal aliens and have no legal recourse for workplace mistreatment. In fact, CI-1 observed employees who were required to work with extremely harsh chemicals, including bleach mixed with other cleaning agents, without the appropriate protective eyewear.

(k) On Friday, March 2, 2018, law enforcement officers instructed CI-1 to return to 1617 Helton Road to obtain video footage of the facility for purposes of executing the search warrant sought by this Affidavit. CI-1 was equipped with covert video surveillance equipment and provided the excuse that he was visiting the facility for purposes of picking up another employee. While there, CI-1 observed approximately 60-70 employees. CI-1 stated that most of these employees were Hispanic, which is relevant for the reasons set forth in paragraph (10).

Surveillance

(12) As described above, I have reviewed the bank records for the primary payroll account for Southeastern Provisions. The records for this payroll account revealed a steady increase in the size of the large, weekly cash withdrawals. In 2017, that large, weekly cash withdrawals range from a low of \$62,000 on 1/5/2017 to a high of \$122,000 on 12/20/2017. As described above, interviews with bank employees revealed that these large cash withdrawals were typically made by Pamela Brantley or Priscilla Keck on Tuesdays or Wednesdays. As

described above, approximately \$25,000,000 in cash has been withdrawn from this account on a weekly basis since 2008.

(13) Based upon the information provided by the confidential informant, law enforcement officers involved in the investigation suspected that Pamela Brantley, Priscilla Keck, and others made the large cash withdrawals in the middle of the week for the purpose of paying the numerous illegal aliens employed at 1617 Helton Road at the end of the week and that such large quantities of cash were likely stored in the vault installed at 1617 Helton Road, described above. These suspicions led to a decision to conduct surveillance.

(14) Based on the foregoing, law enforcement offices participating in the investigation conducted surveillance on October 11, 2017 and November 14, 2017. On both occasions, surveillance was initiated at the bank at the time that law enforcement expected (based upon an analysis of the years' of bank records) one of the suspects to make a large cash withdrawal.

(15) On October 11, 2017, Kelsey Brantley was observed entering Citizens Bank located at 155 Terrace Lane, Morristown, TN and then emerging shortly thereafter. Subsequent investigation revealed that Kelsey Brantley withdrew \$98,200 in cash on this date. After leaving the bank with \$98,200 in cash, Kelsey Brantley stopped briefly at a feed store before returning to Southeastern Provisions at 1617 Helton Road.

(16) On November 14, 2017, Priscilla Keck was observed entering Citizens Bank located at 155 Terrace Lane, Morristown, TN and then emerging shortly thereafter. Subsequent investigation revealed that Priscilla Keck withdrew \$93,000 in cash on this date. After leaving the bank with \$93,000 in cash, Priscilla Keck went to a restaurant in Morristown for approximately 90 minutes. She left the restaurant and returned to her residence located at 1598 Helton Road, Bean Station, TN, which is immediately adjacent to 1617 Helton Road. Keck took

the currency inside her residence. Based on the following, I have probable cause to believe that the two large cash withdrawals that law enforcement observed were made for the purpose of paying illegal aliens to work at 1617 Helton Road. I have probable cause to believe this because:

(a) As part of the surveillance effort, aerial photographs of 1617 Helton Road were taken on October 11, 2017 and November 14, 2017. I have analyzed the photographs and have found the following: On October 11, 2017, there were approximately 87 passenger vehicles parked on the premises of 1617 Helton Road. On November 14, 2017, there were approximately 80 passenger vehicles parked on the premises.

(b) However, our investigation has revealed that not more than 44 lawful employees work for Southeastern Provisions, consisting of:

(i) 44 employees who received wages, tips, or other compensation, as reported to the IRS on Form 941 filed by Southeastern Provisions in January 2018.

(ii) Approximately eight other employees who were contractors to Southeastern Provisions, as reported by the 1099-MISC's issued by Southeastern Provisions in respect of tax year 2016 (2017 data are not yet available).

(iii) One USDA inspector and one veterinarian required by federal law or regulations to be on site while the slaughterhouse at 1617 Helton Road is operational.

(c) As such, assuming that *all* wage employees, *all* 1099-MISC recipients, the USDA inspector, *and* the veterinarian were *all* present on the two surveillance dates, then I have probable cause to believe that on the two surveillance dates, and on other dates during which Pamela Brantley, Priscilla Keck, or another suspect make large cash withdrawals from Citizens Bank, Southeastern Provisions was employing between 30 and 40 illegal aliens at 1617 Helton Road whose wages were unreported and untaxed

Financial Analysis

(17) As part of the investigation, law enforcement issued a grand jury subpoena to Citizens Bank requesting the account records of the bank accounts for Southeastern Provisions and James Brantley. Citizens Bank provided records for six bank accounts, only five of which are related to this investigation: Four accounts were in the name of Southeastern Provisions and one account in the name of James Brantley, Pamela Brantley, and Rick Coffman. Those accounts are described as follows:

(a) Account number ****0414 styled “Southeastern Provisions, LLC” is a business checking account. The signatories on this account are James Brantley, Pamela Brantley, Kelsey Brantley, and Priscilla Keck.

(b) Account number ****2311 styled “Southeastern Provisions, LLC ODD Account” is a business checking account. The signatories on this account are James Brantley and Pamela Brantley.

(c) Account number ****2444 styled “Southeastern Provisions, LLC” is a business checking account. The signatories on this account are James Brantley, Pamela Brantley, Kelsey Brantley, and Priscilla Keck.

(d) Account number *****5749 styled “Southeastern Provisions, LLC” is a business savings account. The signatories on this account are James Brantley, Pamela Brantley, and Kelsey Brantley.

(e) Account number ****7831 styled “James M. Brantley, Pamela K. Brantley, Rick Coffman” is a personal checking account. The signatories on this account are James Brantley, Pamela Brantley, and Rick Coffman. The investigation revealed that Rick Coffman was an employee of Southeastern Provisions.

(18) All four of the business accounts identify 1617 Helton Road, Bean Station, TN, 37708 (the business address for Southeastern Provisions) as the address of record. The personal account identifies 1570 Helton Road, Bean Station, TN, 37708 (the personal residence of James and Pamela Brantley) as the address of record.

(19) My analysis of the four business accounts and the personal account reveal that they all are used for business purposes relating to the operations of Southeastern Provisions. The accounts receive deposits from clients and payments to vendors. Cash is withdrawn from the four business accounts, which is then used to pay employees including illegal aliens. A minimal amount of checks are written from all of the accounts which are made payable to employees. During my review of the financial records, I did not find sufficient evidence that wages are being paid with checks.

False Employer Tax Returns

(20) I have reviewed the Forms 941, Employer's Quarterly Federal Tax Returns, Forms W-2, Wage and Tax Statement, and Forms 1099-MISC, Miscellaneous Income for Southeastern Provisions for the tax years 2013, 2014, 2015 and 2016. All of the Forms 941 filed by Southeastern Provisions were signed under penalties of perjury by James Brantley. I have also reviewed the cash withdrawals for Southeastern Provisions bank accounts for the same time period. The total wages reported on the Forms 941 are significantly less than the actual wages paid, as indicated by the aggregated cash withdrawals.

(21) For the 2013 tax year, Southeastern Provisions filed 39 Forms W-2, which reported total wages of \$441,701. Southeastern Provisions also filed four Forms 1099, which reported contract labor of \$68,665. The total wages and contract labor reported for 2013 was

\$510,366. The total cash withdrawals for 2013, as depicted on the bank account records, were \$2,037,870.

(22) For the 2014 tax year, Southeastern Provisions filed 32 Forms W-2, which reported wages of \$445,704. Southeastern Provisions also filed four Forms 1099, which reported contract labor of \$119,965. The total wages and contract labor reported for 2014 was \$565,309. The total cash withdrawals for 2014, as depicted on the bank account records, were \$2,696,278.

(23) For the 2015 tax year, Southeastern Provisions filed 37 Forms W-2, which reported wages of \$403,693. Southeastern Provisions also filed six Forms 1099, which reported contract labor of \$173,625. The total wages and contract labor reported for 2015 was \$577,318. The total cash withdrawals for 2015, as depicted on the bank account records, were \$2,671,455.

(24) For the 2016 tax year, Southeastern Provisions filed 23 Forms W-2, which reported wages of \$583,353. Southeastern Provisions also filed eight Forms 1099, which reported contract labor of \$234,118. The total wages and contract labor reported for 2016 was \$817,471. The total cash withdrawals for 2016, as depicted on the bank account records, were \$3,486,074.

(25) In total, for years 2013 through 2016, the difference between the Form W-2 wages and Form 1099-MISC non-employee compensation reported to the IRS, on the one hand, and the cash withdrawals from the business bank accounts is over \$8.4 million, as depicted in the following chart:

Tax Year	Forms W-2 (number filed & wages reported)	Forms 1099 (number filed & wages reported)	Total Wages/Contract Labor Reported	Cash Withdrawals	Difference
2013	39 - \$441,701	4 - \$ 68,665	\$ 510,366	\$ 2,037,870	\$1,527,503
2014	32 - \$445,704	4 - \$119,965	\$ 565,309	\$ 2,696,278	\$2,130,969
2015	37 - \$403,693	6 - \$173,625	\$ 577,318	\$ 2,671,455	\$2,094,136
2016	23 - \$583,353	8 - \$234,118	\$ 817,471	\$ 3,486,074	\$2,668,602
Total:			\$2,470,825	\$10,891,677	\$8,421,210

(26) Federal tax laws require employers to withhold FICA, Social Security, and Medicare taxes from employees' pay. Employers are also required to match the contributions at the same rate. The FICA rate is 7.65%, the Social Security rate is 6.2%, and the Medicare rate is 1.45%. Based upon the evidence described above, I have probable cause to believe that for 2013 through 2016, Southeastern Provisions has paid approximately \$8,421,210 in wages that it did not report to the IRS. If Southeastern Provisions had properly reported these wages, Southeastern Provision would have owed approximately \$2.5 million in payroll taxes in addition to the amount it actually paid. This total is comprised of approximately \$1.28 million in unpaid FICA taxes, approximately \$1.04 million in Social Security taxes, and approximately \$244,000 in Medicare taxes.

Cash Payroll

(27) As previously mentioned, representatives of Southeastern Provisions told bank personnel on two occasions that the cash withdrawals were used to pay employees. CI-1 confirmed that employees are paid in cash. Further evidence of a cash payroll is established by a proof of employment letter issued by Southeastern Provisions.

(28) The investigation revealed that in December 2016, Kelsey Brantley applied for a loan at Citizens Bank. Southeastern Provisions provided a letter on company letterhead to serve as proof of employment for Kelsey Brantley. The letter was signed by Priscilla Keck. The letter states, in part, "*We do not furnish pay check stubs.*"

False Wage and Tax Statements

(29) In addition to Southeastern Provision's failure to withhold payroll taxes as described above, I have probable cause to believe that Southeastern Provisions is not withholding the appropriate amount of taxes for legal employees who receive Forms W-2.

(30) As a result of my review of the Forms W-2, Wage and Tax Statement, I have determined that Southeastern Provisions grossly underreported wages for its legitimate employees. Examples of false Forms W-2 for James Brantley, Pamela Brantley, Carl Kinser, and Jason Kinser are below:

(a) Forms W-2 filed for James Brantley reported wages of \$25,500, \$25,000, \$25,000, and \$25,500 for 2013, 2014, 2015, and 2016 respectively. Based on a full time schedule of 40 hours a week, this would mean his hourly rate of pay was approximately twelve dollars an hour. However, the investigation has revealed that James Brantley and Pamela Brantley are the owners of Southeastern Provisions. Based on my training and experience, I know that owners of companies like Southeastern Provisions make more than \$12 per hour.

(b) Forms W-2 filed for Pamela Brantley reported wages of \$16,320, \$16,000, \$16,000, and \$16,320 for 2013, 2014, 2015, and 2016 respectively. Based on a full time schedule of 40 hours a week, this would mean her hourly rate of pay is less than eight dollars an hour. However, the investigation has revealed that James Brantley and Pamela Brantley are the owners of Southeastern Provisions. Based on my training and experience, I know that owners of companies as large as Southeastern Provisions make more than \$8 per hour.

(c) Forms W-2 filed for Carl Kinser reported wages of \$18,078, \$18,707, \$18,558, and \$19,304 for 2013, 2014, 2015, and 2016 respectively. Based on a full time schedule of 40 hours a week, this would mean his hourly rate of pay is less than nine dollars an hour. This amount is less than the laborers are paid. A USDA-FSIS profile obtained as part of the investigation lists Carl Kinser as Plant Manager. Based on my training and experience, supervisory positions are usually full-time positions compensated at a rate in excess of \$9 / hour.

(d) Forms W-2 filed for Jason Kinser reported wages of \$17,671, \$17,734, \$17,559, and \$18,315 for 2013, 2014, 2015, and 2016 respectively. Based on a full time schedule of 40 hours a week, this would mean his hourly rate of pay is less than nine dollars an hour. This amount is less than the laborers are paid. A USDA-FSIS profile obtained as part of the investigation lists Jason Kinser as Pen and Slaughter/Processing Area Supervisor. Based on my training and experience, supervisory positions are usually full-time positions compensated at a rate in excess of \$9 / hour.

False Personal Tax Returns

(31) As part of the investigation, I have also reviewed the personal income tax returns filed by James and Pamela Brantley, Carl Kinser, and Jason Kinser for 2013 through 2016. My review of those records revealed that the only income from Southeastern Provisions (including wages, distributions, dividends, or other income) were the wage amounts corresponding to the Forms W-2 issued by Southeastern Provisions. The amounts reported on the Forms W-2 do not include payments received in cash.

(32) For 2013, 2014, 2015, and 2016, James Brantley filed Forms 1040, U.S. Individual Income Tax Return, with Pamela Brantley. They reported income that consisted of Form W-2 wages from Southeastern Provisions for both James and Pamela; Form 1099-R wages from the Tennessee Consolidated Retirement System for Pamela; and Schedule K-1 profits of approximately \$20,000 per year from Southeastern Provisions.

INFORMATION RELATING TO COMPUTER EVIDENCE

(33) I know documents, records and equipment can be in the form of printed documents or stored in computer memory, digital storage disks, or other digital storage media. Computer memory is referred to interchangeably as digital storage media.

(34) The following is based upon your affiant's knowledge, training, and experience, and consultation with Special Agent Robert McCorkle, Computer Investigative Specialist, IRS-CI. Special Agent McCorkle has received specialized training regarding the seizure of computers and related evidence. Special Agent McCorkle advised your affiant that computer hardware, computer software, computer-related documentation, passwords, and data security devices may be important to a criminal investigation in three important respects: (a) as instrumentalities for the violations of federal laws enumerated herein; (b) as devices used in conjunction with the collection and storage of electronic data and records related to the alleged violations and (c) fruits of illegal activity. Search and seizure of computer hardware, software, documentation, passwords, and data security devices, either as instrumentalities of criminal activity or as storage devices for evidence thereof, is contemplated.

(35) Special Agent McCorkle advised that in order to completely and accurately retrieve data maintained in computer hardware or on computer software, to insure accuracy and completeness of such data, and to prevent the loss of the data either from accidental or programmed destruction, it is necessary to seize the entire operating system, installed programs and data stored on the computer media. The computer specialist will attempt to obtain an image of the computer hard drives (that is a "bit by bit" copy of the hard drive). This image allows the seizure of the data without actually removing the hard drive from the premises. However specific, permission is requested from the Court to remove such equipment if necessary to an off-site location, such as a computer forensic lab to process and search the computer and related media. Once imaged, the data is then processed in a secure off-site environment by a qualified computer specialist. The offsite analysis is required because of the following:

(a) *The volume of evidence.* Computer storage devices (such as hard disks, diskettes, tapes, laser disks, USB drives “thumb drives”, etc.) can store the equivalent of thousands of pages of information. Additionally, a user may seek to conceal criminal evidence by storing it in random order with deceptive file names. Searching authorities are thus required to examine all the stored data to determine which particular files are evidence or instrumentalities of criminal activity. This sorting process can take weeks or months, depending on the volume of data stored, and it would be impractical to perform this data analysis “on-site.”

(b) *Technical requirements.* Analyzing computer systems for criminal evidence is a highly technical process requiring specialized skills and a properly controlled environment. Since computer evidence is extremely vulnerable to destruction (both from accidental or inadvertent destruction and from destructive methods employed as a “booby trap”), a controlled environment is essential to its complete and accurate analysis.

(36) Computer hardware is described as any and all computer equipment, including any electronic devices that are capable of collecting, analyzing, creating, displaying, converting, storing, concealing, or transmitting electronic, magnetic, optical, or similar computer impulses or data. These devices include, but are not limited to, any data-processing hardware (such as central processing units, self-contained “laptop or notebook” computers, “tablets” and “smart-phones”); internal and peripheral storage devices (such as fixed disks, external hard disks, floppy disk drives and other memory storage devices); peripheral input/output devices; as well as any devices, mechanisms, or parts that can be used to restrict access to such hardware (such as physical keys and locks).

(37) Computer software is described as any and all information, including any instructions, programs, or program code, stored in the form of electronic, magnetic, optical, or

other media that are capable of being interpreted by a computer or its related components. This software commonly includes operating systems, programs/applications (such as word-processing, graphics, spreadsheet programs, databases programs, accounting and tax preparation software) and utilities.

(38) Computer passwords and data security devices or software are described as all those devices, programs, or data, whether themselves in the nature of hardware or software, that can be used or is designed for use to restrict access to or facilitate concealment of any computer hardware, computer software, computer-related data.

(39) The analysis of electronically stored data may entail any or all of several different techniques. Such techniques may include, but shall not be limited to, surveying various file “directories” and the individual files they contain (analogous to looking at the outside of a file cabinet for the markings it contains and opening a drawer believed to contain pertinent files)/ “opening” or reading the first few “pages” of such files in order to determine their precise contents; “scanning” storage areas to discover and possibly recover recently deleted data; scanning storage areas for deliberately hidden files; or performing electronic “key-word” searches through all electronic storage areas to determine whether occurrences of language contained in such storage areas exist that are intimately related to the subject matter of the investigation or in some instances operating a clone of the seized computer to allow access to the installed programs and data.

(40) The terms “records, documents, and materials, including those used to facilitate communications” as used above shall also be read to include any and all electronic information or electronic data, stored in any form, which is used or has been prepared for use either for periodic or random back-up (whether deliberate or inadvertent, or automatically or manually

initiated), of any computer or computer system. The form such information might take includes, but is not limited to, hard drives, diskettes, tapes, USB (Universal Serial Bus) storage media (Thumb drives), other solid state type storage media or any other media capable of storing information in a form that can be read or interpreted by a computer.

(41) All attempts will be made by the computer specialist to obtain images of the computer's hard drive and leave the equipment intact at the search location. However, specific permission is requested from the Court to remove such equipment to an offsite location, such as the computer specialist's lab to process and search the computer and related media.

CONCLUSION

(42) Based upon the foregoing information, evidence and intelligence gathered as a result of the investigation, I believe there is probable cause to believe within the business and residence described above including their curtilage and outbuildings, appurtenances, and attached and detached garages and vehicles and trailers located on such curtilage; more particularly described in Attachment A, attached hereto and incorporated herein, there are presently concealed those items set forth in Attachment B, attached hereto and incorporated herein, which items constitute evidence, instrumentalities, contraband, and/or fruits of violations of Title 26 U.S.C. §§ 7201, 7206(1), and 7202 and Title 8 U.S.C. § 1324(a).

* * *

Respectfully submitted,
Nicholas R. Worsham
Nicholas R. Worsham, Special Agent
IRS – Criminal Investigations

Subscribed and sworn to before me on April 20th, 2018

Clifton L. Corker
Honorable Clifton L. Corker
United States Magistrate Judge

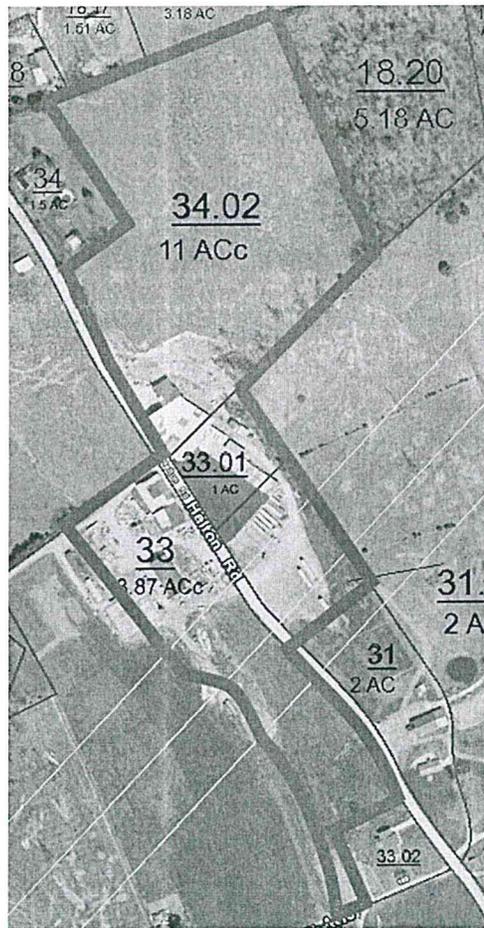
Attachment A

PROPERTY TO BE SEARCHED
Search Warrant Affidavit

1617 HELTON ROAD, BEAN STATION, TN 37708

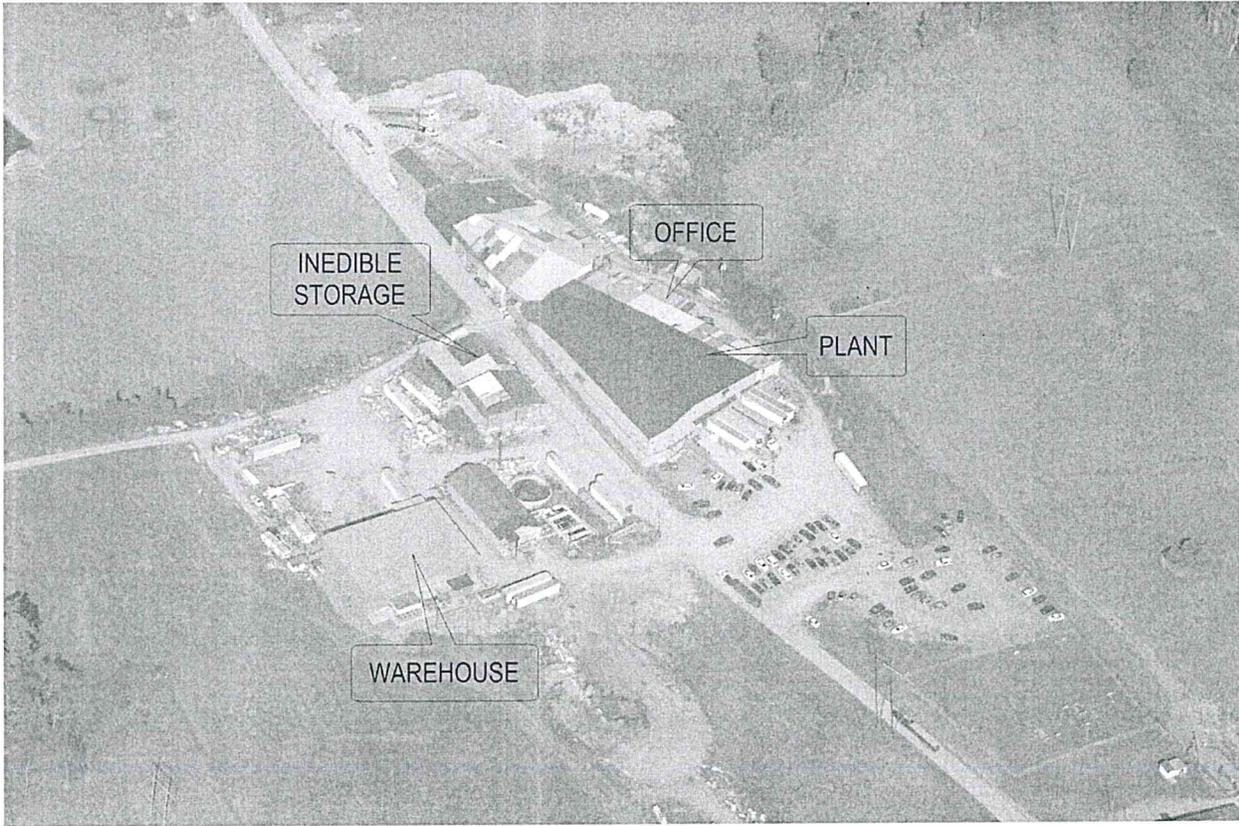
Business of Southeastern Provisions, LLC located at 1617 Helton Road, Bean Station, TN, 37708, and its curtilage and outbuildings, appurtenances, and attached and detached garages and vehicles and trailers located on such curtilage.

Property Map



Property to be searched is contained within the parcels identified with the black border more specifically described as the business location of Southeastern Provisions, LLC which is located at 1617 Helton Road, Bean Station, Tennessee. The property to be searched contains four parcels of land all which are owned by James Brantley. The property contains multiple buildings and structures used in the operation of Southeastern Provisions, LLC which is owned by James Brantley.

Aerial View



Attachment B

ITEMS TO BE SEIZED

The following records, documents and materials in whatever form and by whatever means they may have been created or stored, including any paper, electrical, electronic, or magnetic form which are related to the financial activities of James Brantley, Southeastern Provisions, LLC, and/or entities owned by James Brantley and/or any related entities to Southeastern Provisions, LLC from January 1, 2013 to the present, are to be seized:

(1) The notebook or other written record in which employees are required to sign their names and log the number of hours they worked.

(2) Financial Statements, bookkeeper's and/or accountant's work papers used in the preparation of records or tax returns; copies of all federal and state income tax returns; any and all books, records, invoices, receipts, bank statements and related records which reflect income and expenses.

(3) Bank account information including passbooks or bank statements, records reflecting dates and amounts of deposits, withdrawals, interest, debit and credit memos, deposit slips, records reflecting the identity of checks deposited, withdrawal slips, cancelled checks and records disclosing the disposition of withdrawals, and Forms 1099. Records of any certificates of deposit, money market certificates, safety deposit boxes, wire transfers, U.S. Treasury Notes or Bills purchased, money orders, check ledgers, and checkbooks.

(4) Loans Payable and Loans Receivable records including applications, financial statements, loan collateral, credit and background investigations required, loan agreements, notes or mortgages, settlement sheets, contracts, retained copies of checks issued for loans, repayment records, including records revealing the date, amount and method of repayment (cash or check), checks used to repay loans and a record disclosing the total amount of discount or interest paid annually, records of any liens, loans correspondence files and internal memoranda relative to these loans.

(5) Indicia of occupancy, residency, rental and/or ownership of real estate; records detailing all properties titled, leased, or otherwise held by James Brantley, Southeastern Provisions, LLC, and/or entities owned by James Brantley and/or any related entities to Southeastern Provisions, LLC

(6) Records of real estate transactions including listing agreements, sales contracts, leases or rental contracts; rental applications, financial worksheets and/or loan applications, addendums; deeds (whether recorded as a public record or not); promissory notes or other loan documentation or financing information; rental receipt ledgers; property use agreements, occupancy agreements, or contracts; documents reflecting the receipt of payments and description thereof; and correspondence, notes, telephone messages or other memoranda relating to sales or lease transactions.

(7) Diaries, calendars (whether conventional or electronic), appointment books, journals, address/telephone books (including and address/telephone rolodex or similar index).

(8) Currency Transaction Reports, Forms 8300 or information related to the legal requirement to file such reports, regardless of their date of creation.

(9) Records and/or documents which are related to the receipt of income, the disposition of the same, or banking activities, to include publications, notes, correspondence and/or memoranda, the content of which, in whole or in part, involves financial information or transactions.

(10) Currency or cash.

(11) Contents of any vault, safe, or lockbox of any kind, located at the location to be searched, provided that the contents are within the specifications as set forth in this list of items to be seized.

(12) Employee files including applications for employment and identification documents provided by employees to gain employment.

(13) Any electronic devices that are capable of analyzing, creating, displaying, converting or transmitting electronic or magnetic computer impulses or data. These devices include computers, computer components, computer peripherals, word processing equipment, modem, monitors, printers, plotters, encryption circuit boards, optical scanners, external hard drives, and other computer related electronic devices.

(14) Any instructions or programs stored in the form of electronic or magnetic media that are capable of being interpreted by a computer or related components. The items to be seized include operating systems, application software, utility programs, compilers, interpreters and other programs of software used to communicate with computer hardware or peripherals either directly or indirectly via telephone lines, radio or other means of transmission.

(15) Any written or printed material that provides instructions or examples concerning the operation of a computer system, computer software and/or any related device.

(16) Any information and/or data stored in the form of magnetic or electronic media capable of being read by a computer or with the aid of computer related equipment. This media includes floppy disks, diskettes, fixed hard disks, removable hard disk cartridges, tapes, USB jump/"thumb" drives and other devices, laser disks, video cassettes, and any other media which is capable of storing magnetic coding.